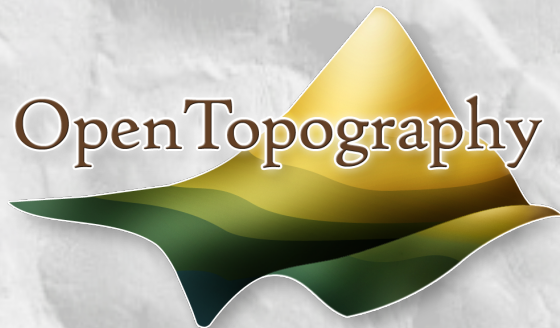


# **Lidar Derived DEMs Applied to Landslide, Fault, Earthquake Rupture, and Landscape Changes**

*March 23 -24, 2014 @ Universidad Nacional Autónoma de México,*

***Christopher Crosby***



# Outline

1. Intro to lidar & data collection
2. Lidar and “seeing through” the vegetation
3. Science applications of terrestrial laser scanning



# Light Detection And Ranging (lidar)

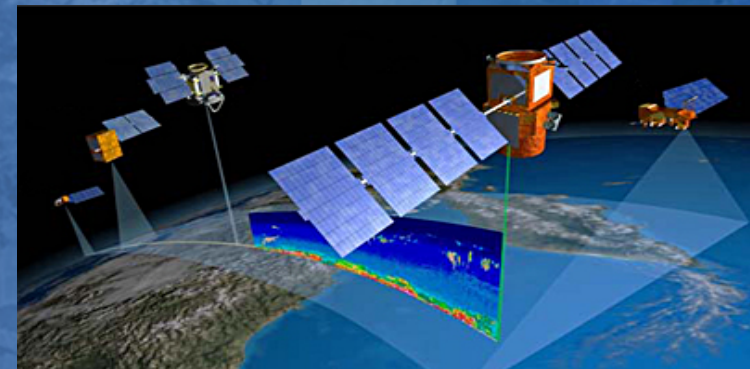
- Accurate distance measurements with a laser rangefinder
- Distance is calculated by measuring the two-way travel time of a laser pulse.
- Near IR (1550nm) or green (532nm)



*Modified from Ian Madin, DOGAMI*



# A Suite of Lidar Platforms



J. Stoker

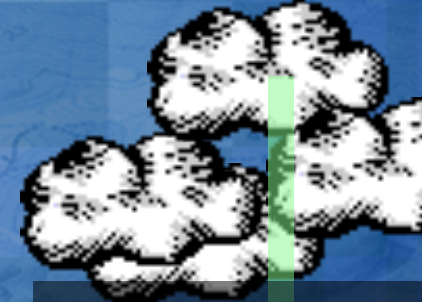




**Space-  
based**



# Platforms



**Atmospheric**

**Airborne**



**Mobile**



**Ground**



*Similar technology, different platforms:*

## Terrestrial Laser Scanning (TLS)

- Also called ground based lidar or T-lidar.

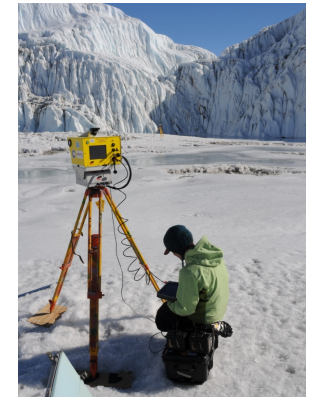
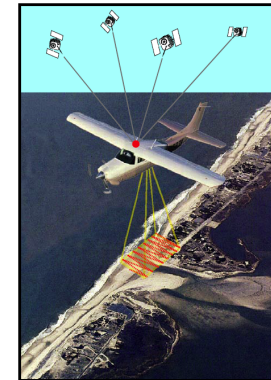
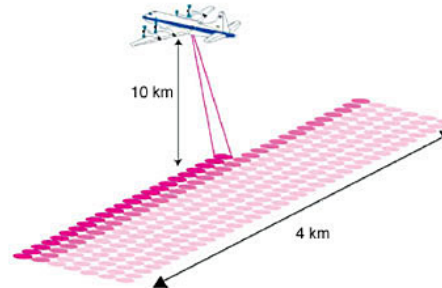
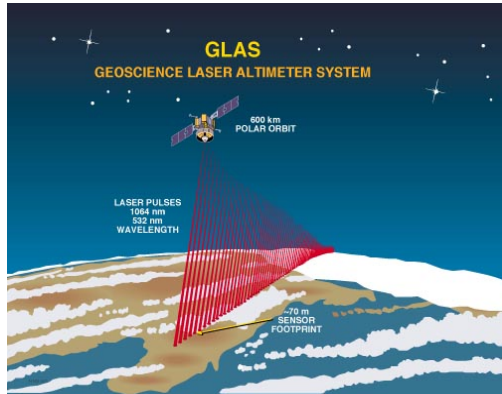
Laser scanning moving ground based platform = Mobile Laser Scanning (MLS).

Laser scanning from airborne platform = Airborne Laser Scanning (ALS).



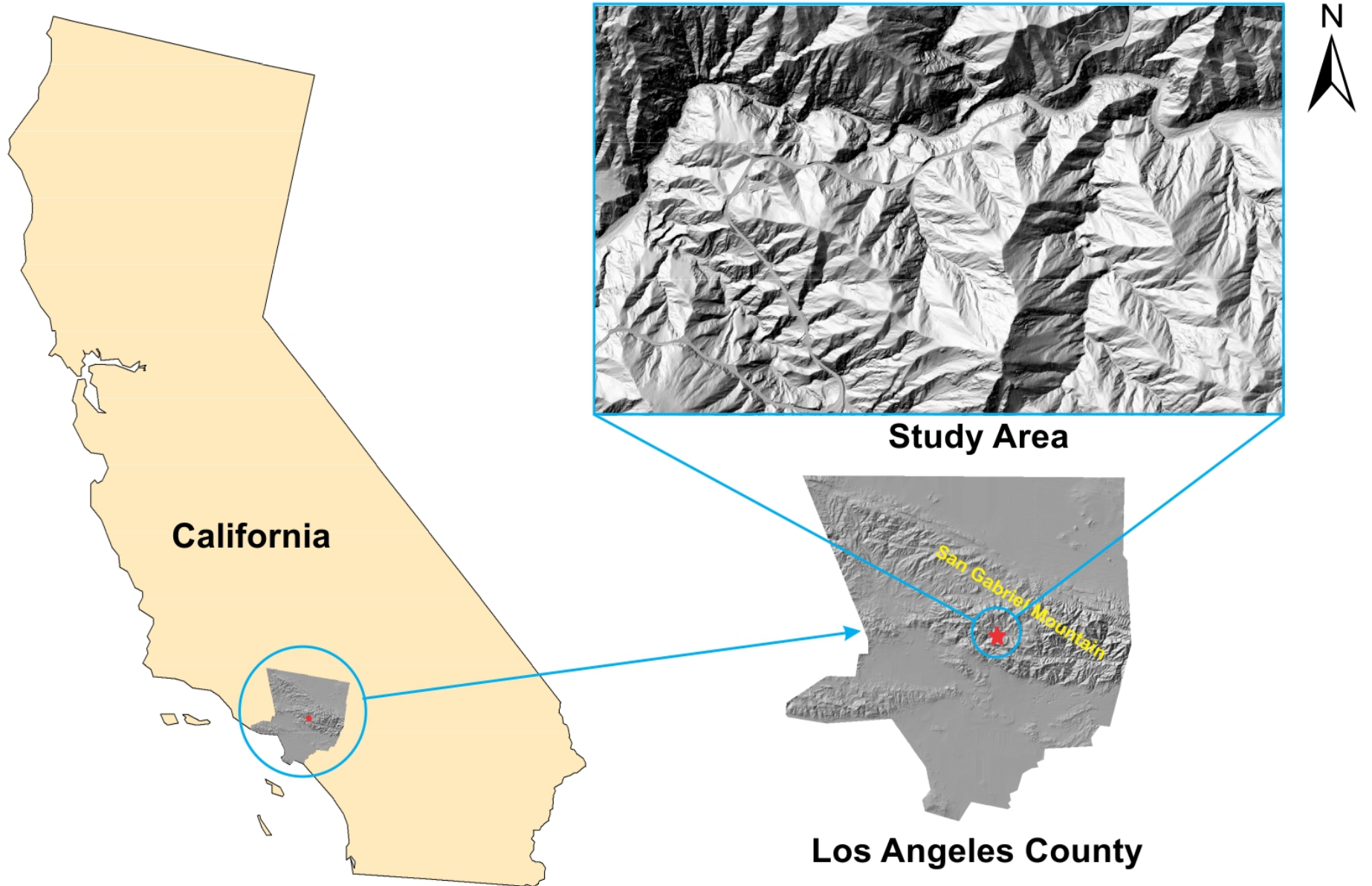


# Light Detection And Ranging (lidar)

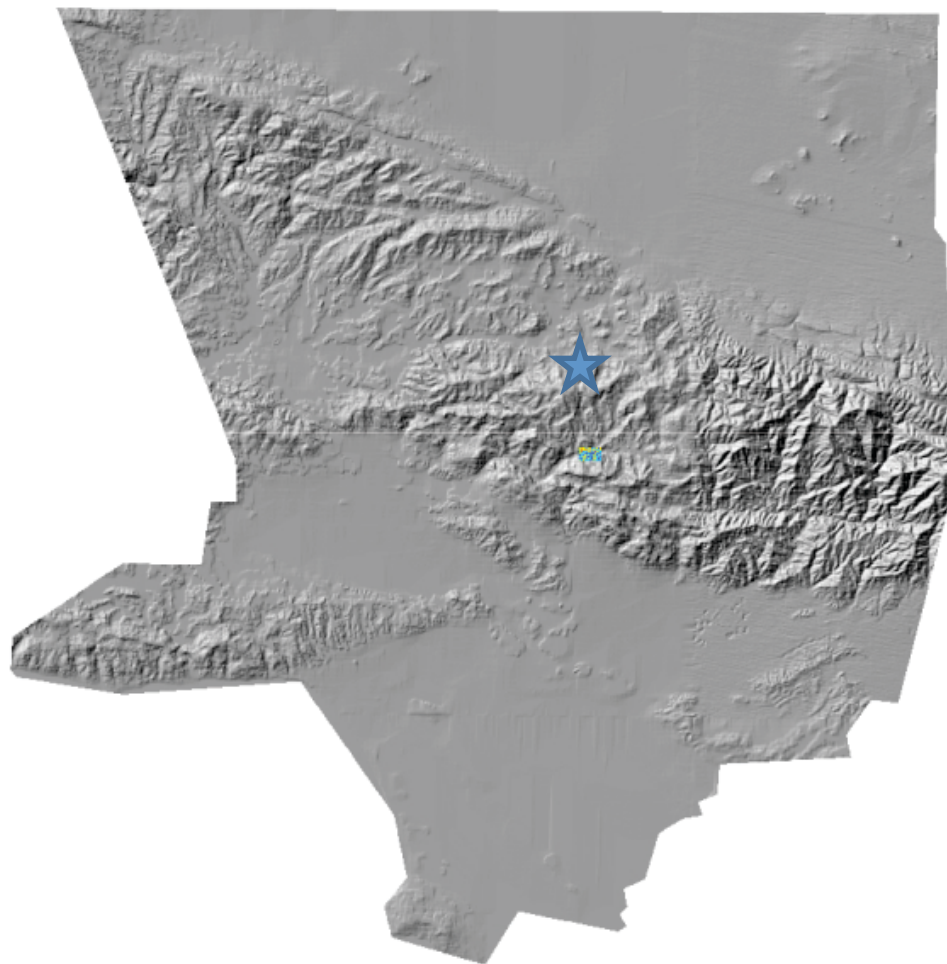


System:	Spaceborne (e.g. GLAS)	High Altitude (e.g. LVIS)	Airborne (ALS)	Terrestrial (TLS)
Altitude:	600 km	10 km	1 km	1 m
Footprint:	60 m	15 m	25 cm	1-10 cm
Vertical Accuracy	15cm to 10m depends on slope	50/100 cm bare ground/ vegetation	20 cm	1- 10 cm Depends on range which is few meters to 2 km or more

# Location of Study Area (San Gabriel, California)

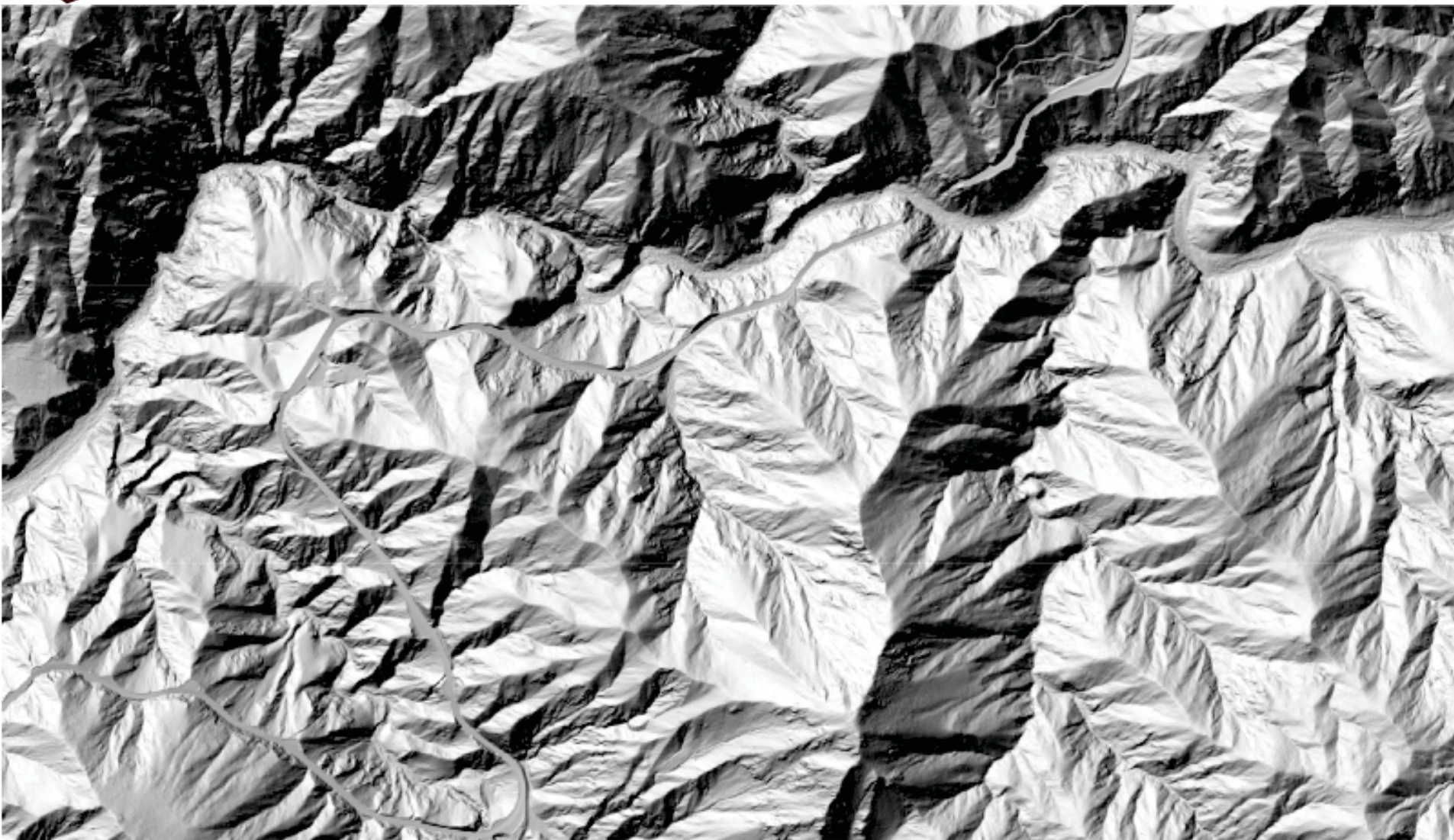






Los Angeles County 30m DEM

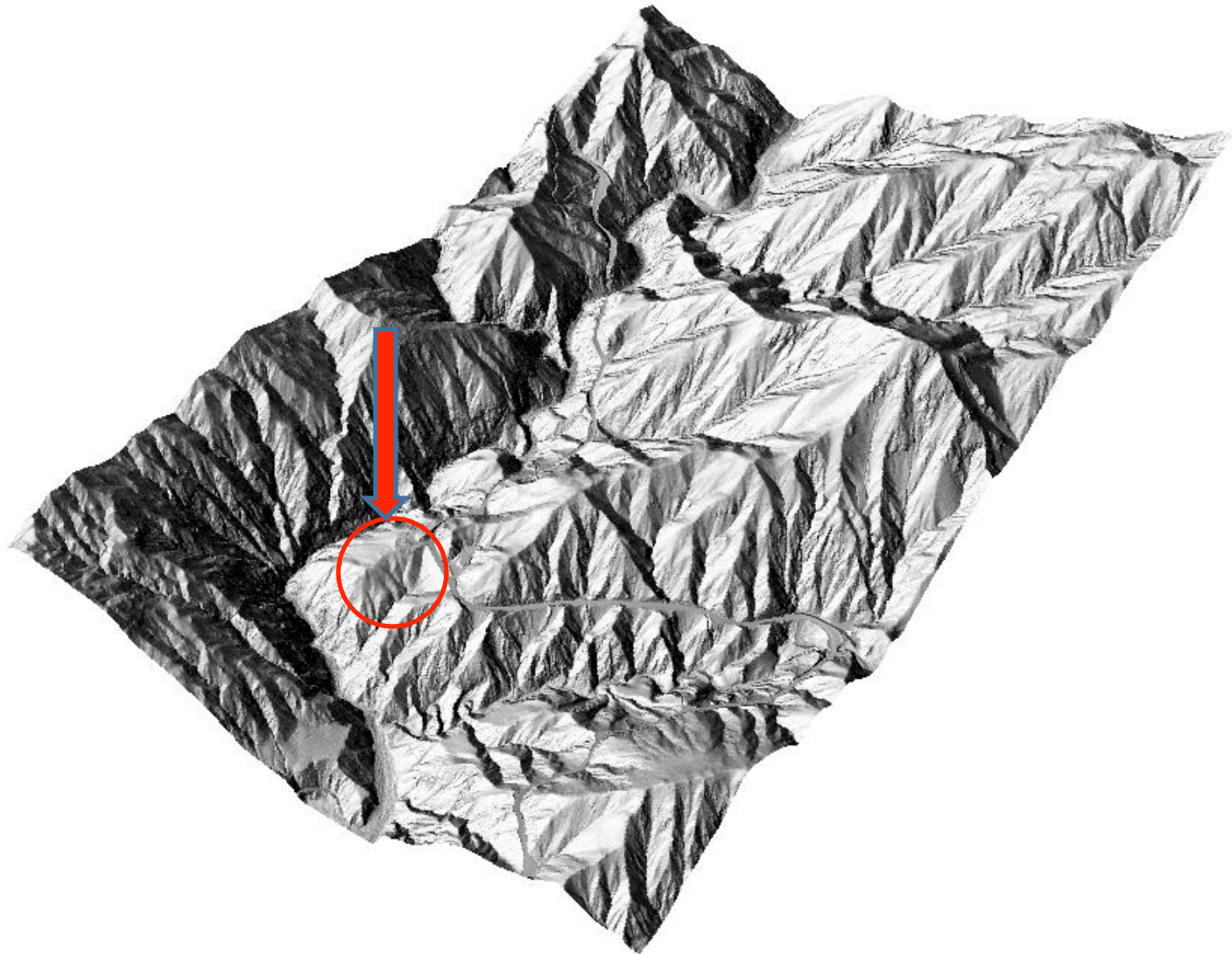




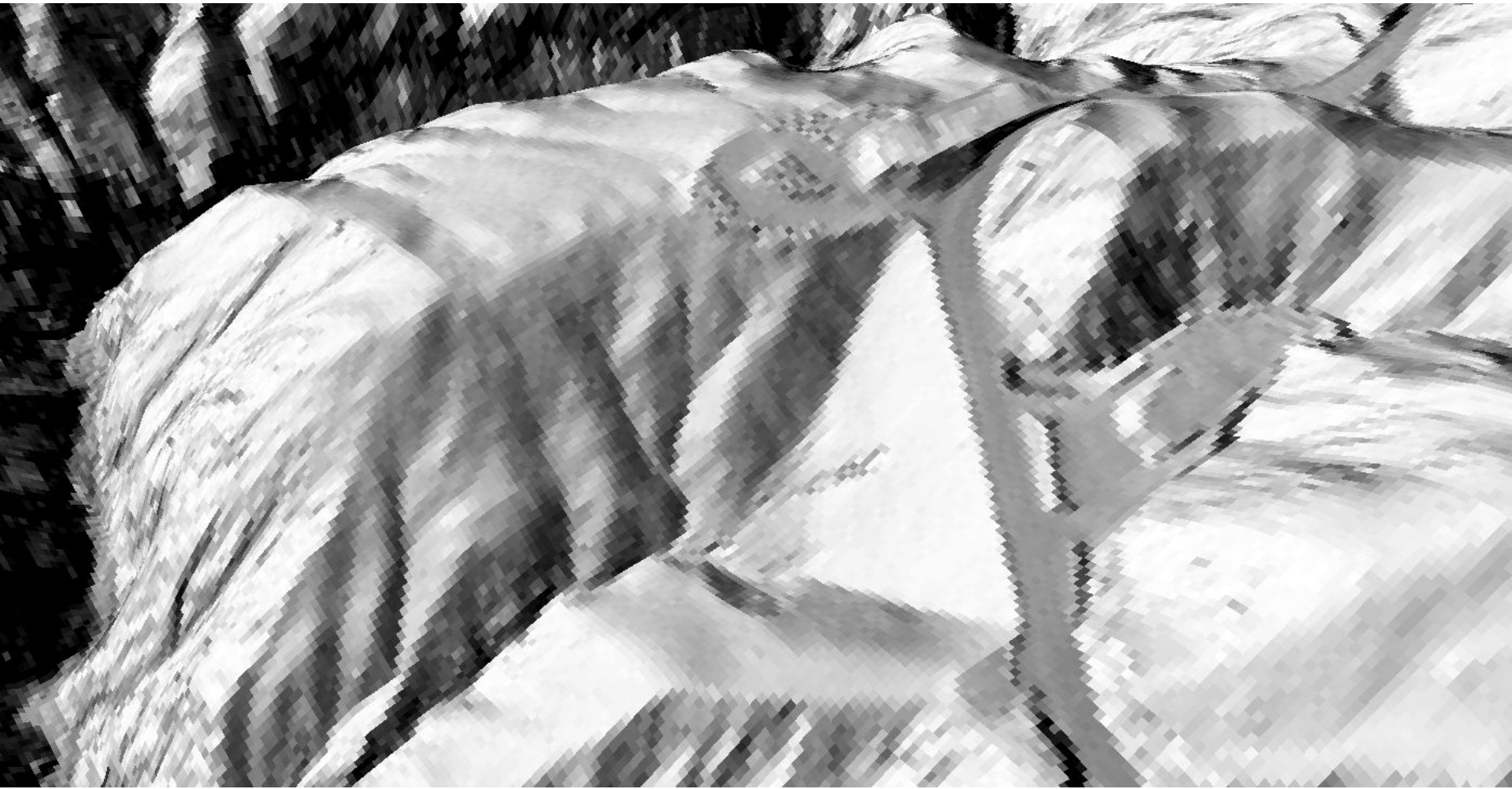
San Gabriel Mountain 1m DEM from airborne lidar



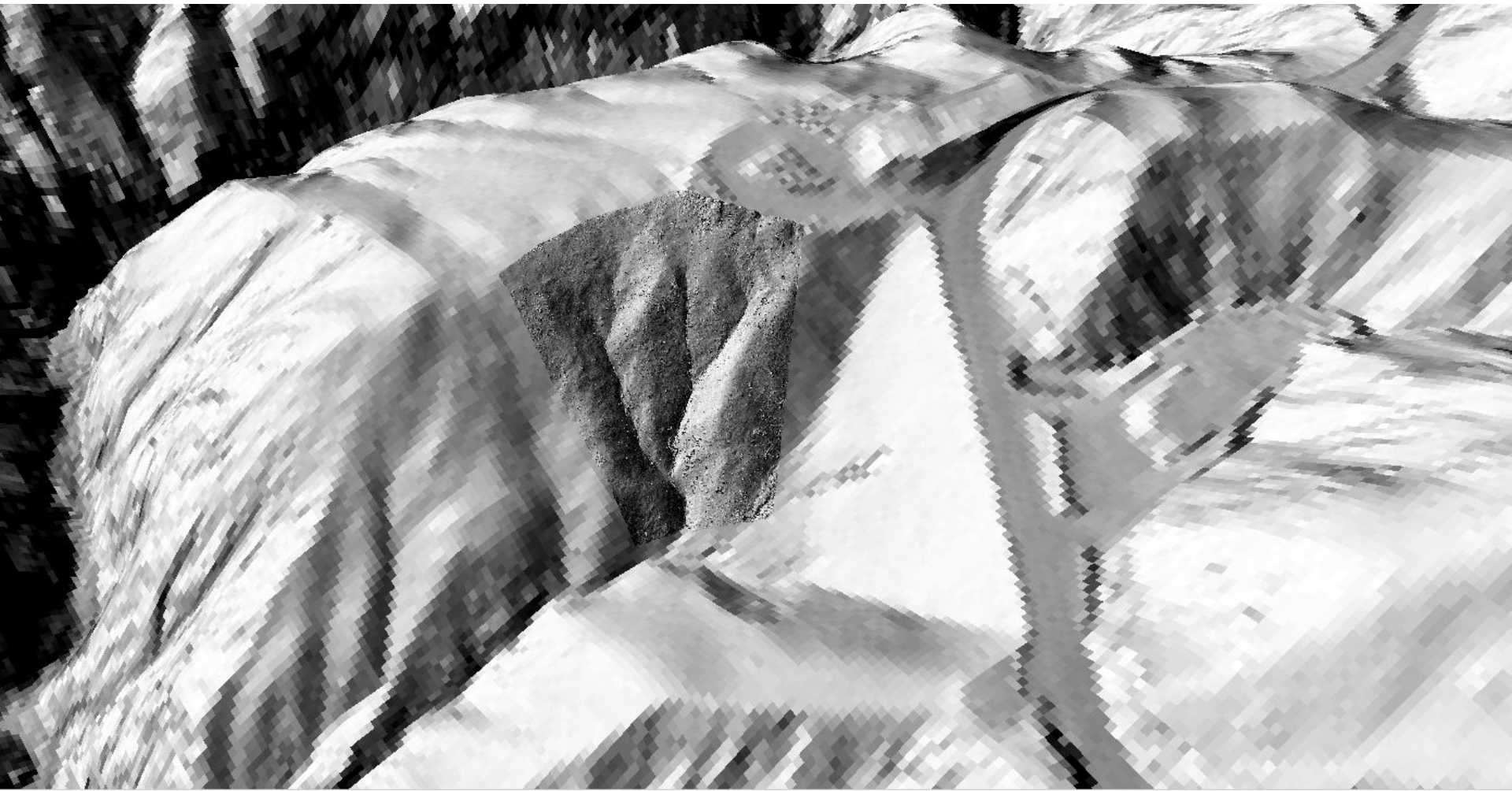


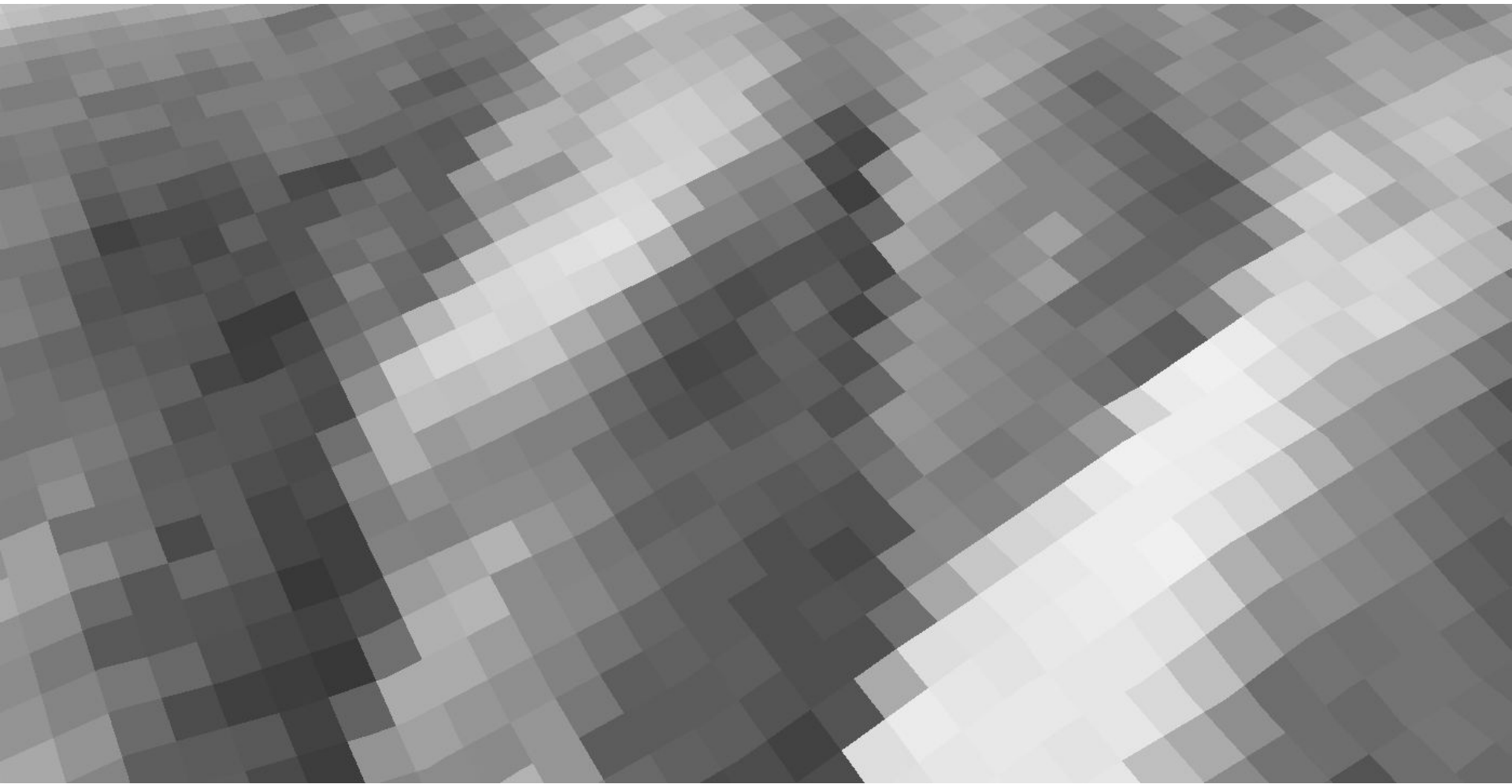








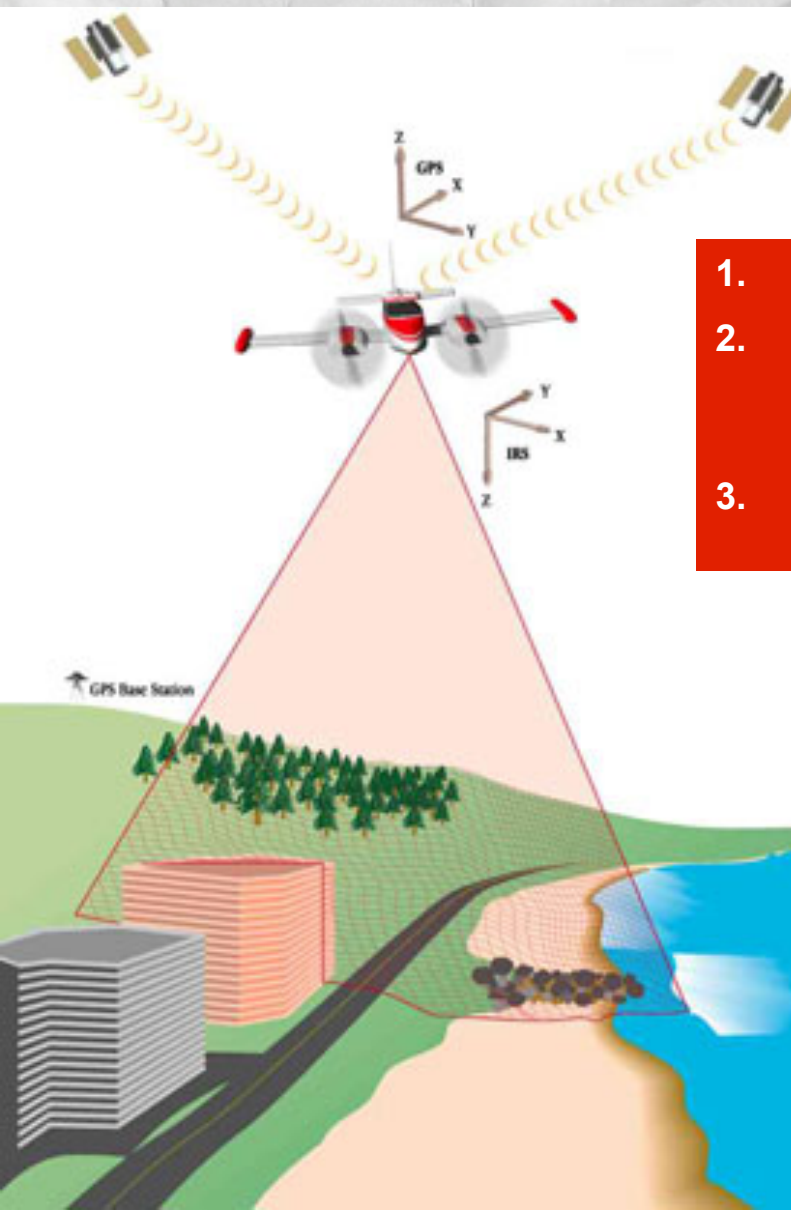




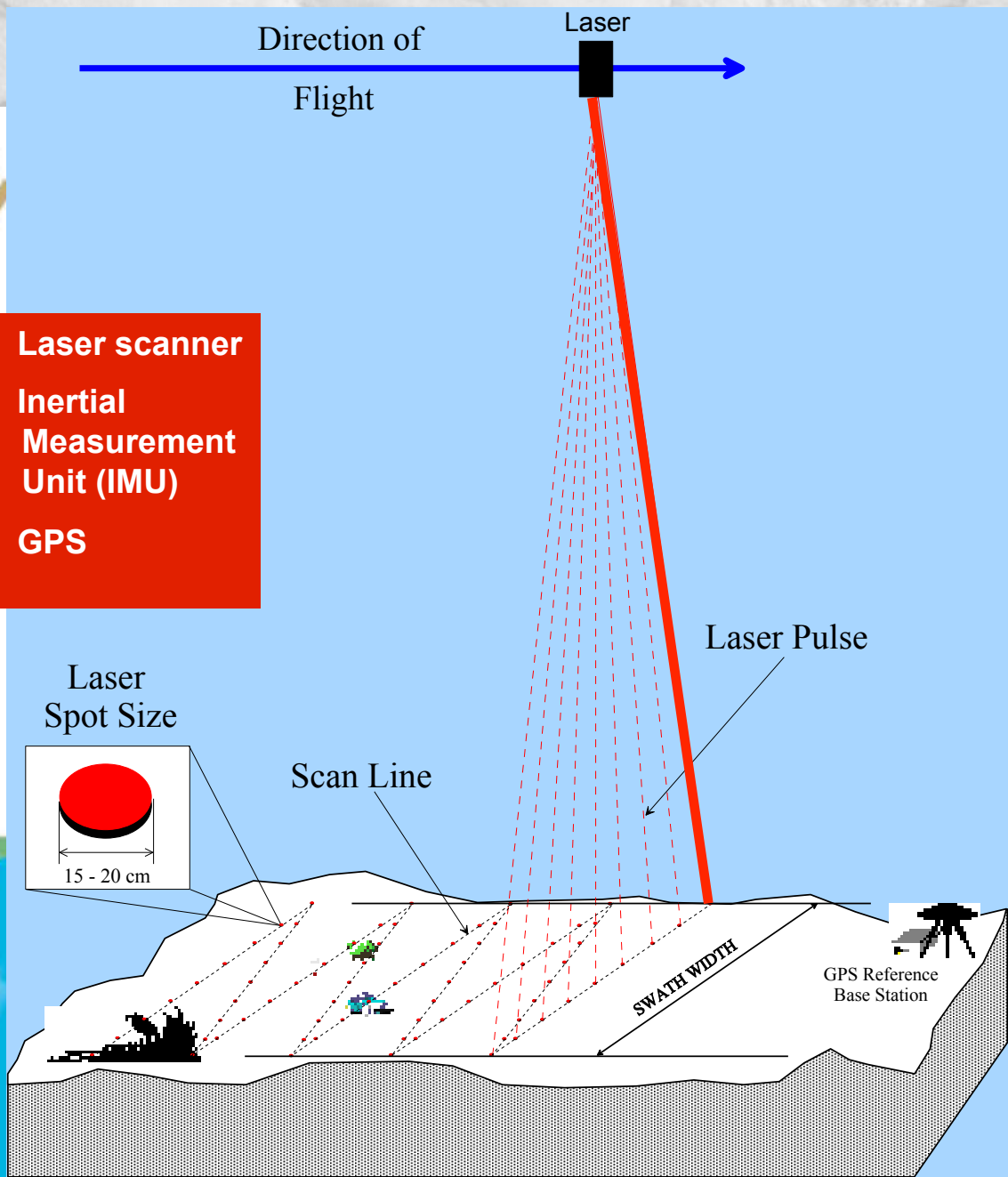




# Airborne lidar data collection

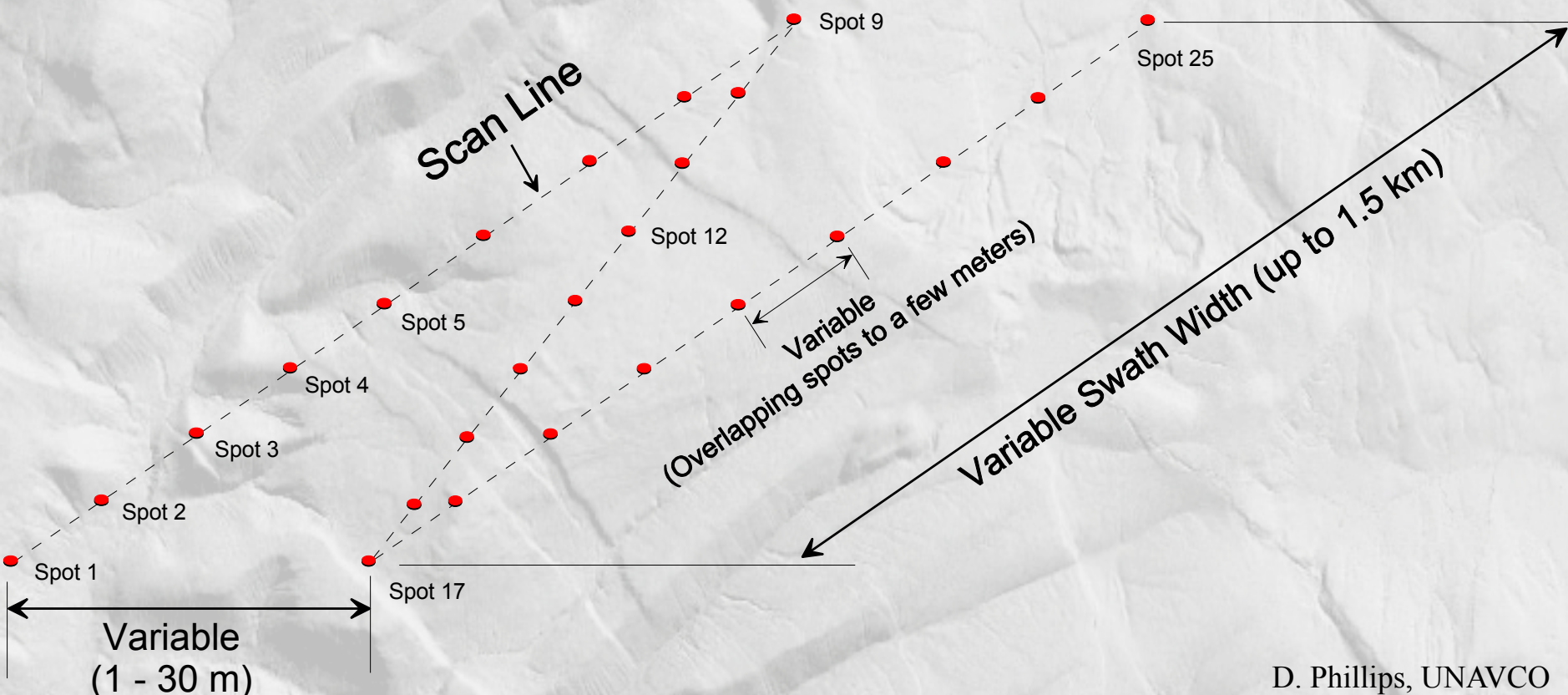


1. Laser scanner
2. Inertial Measurement Unit (IMU)
3. GPS





# Surface Point Spacing



D. Phillips, UNAVCO

Scan line spacing, swath width, spot size and overlap can all be defined as necessary to achieve target data to specification

# Typical Lidar Data Collection Parameters

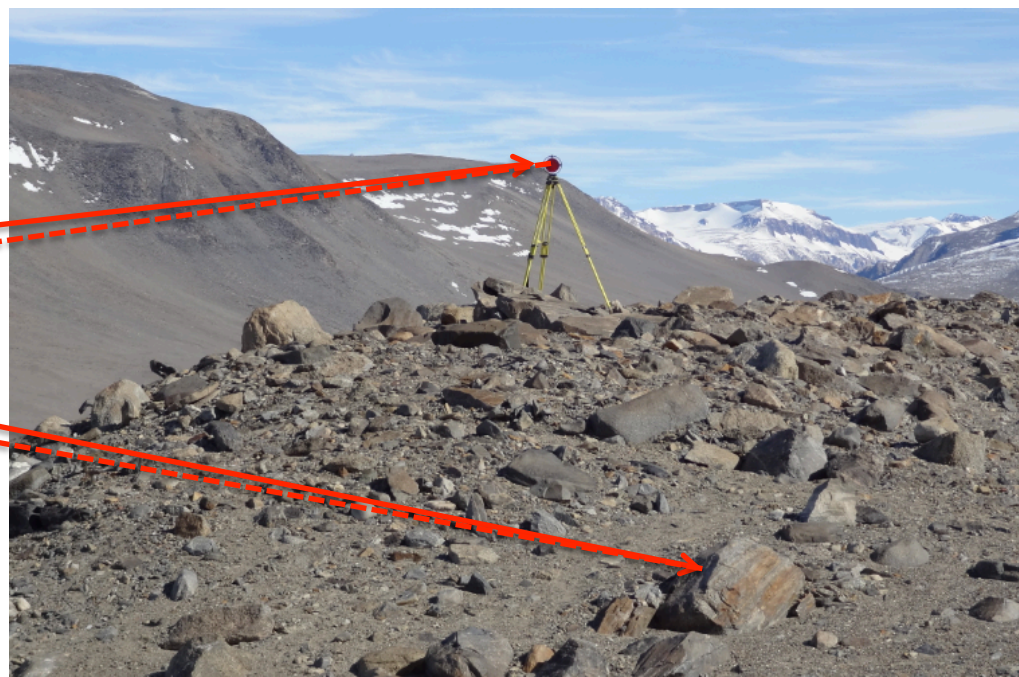
- **Aircraft: Cessna 337 Skymaster**
- **Personnel**
  - **One pilot, one operator in plane**
  - **GPS ground crew (2 to 10+ people)**
- **Scanner: Optech near-IR (Gemini)**
- **PRF: 33-125 KHz**
- **Flying height: 600 – 1,000m AGL**
- **Flying speed: 120 mph**
- **Swath overlap: 50% nominal**
- **Ground truthing: GPS (campaign & CORS)**
- **Navigation solution: KARS**
- **Point spacing: sub-meter**
- **Nominal Accuracy (on open hard and flat surface)**
  - **Vertical: 3 – 6 cm.**
  - **Horizontal: 20 – 30 cm.**





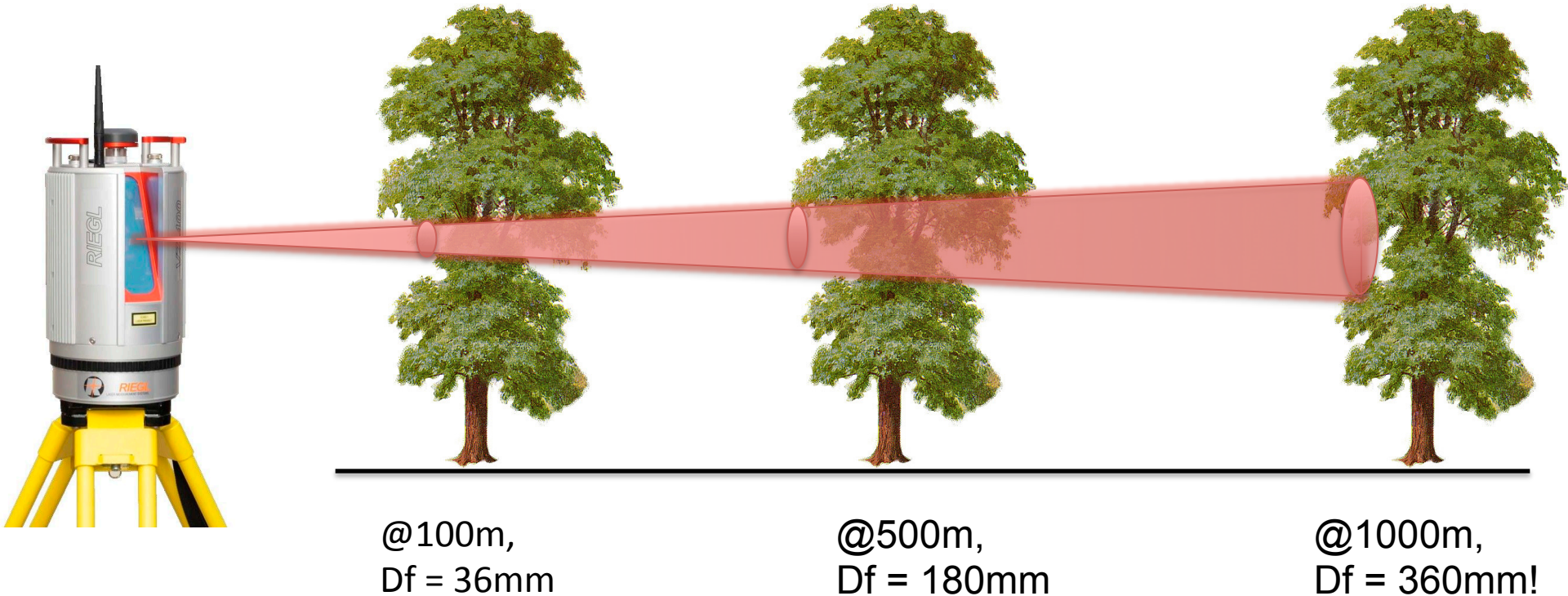
## *How a lidar instrument works (Recap)*

- Transmits laser signals and measures the reflected light to create 3D point clouds.
- Wavelength is usually in the infrared ( $\sim 1550\text{nm}$ ) or green ( $532\text{nm}$ ) spectrum



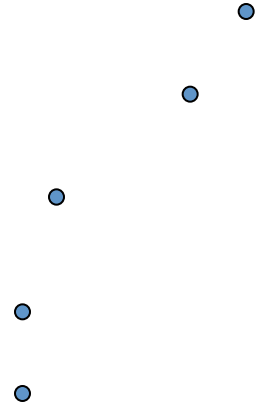
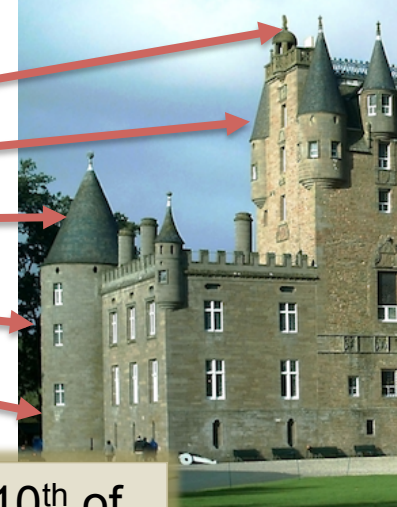
## Beam Divergence

$$D_f = (\text{Divergence} * d) + D_i$$

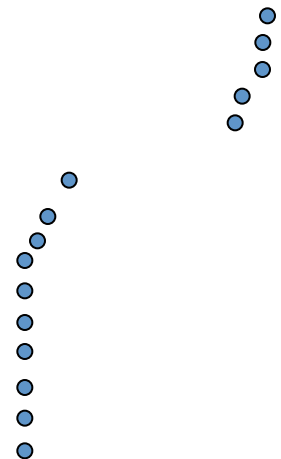




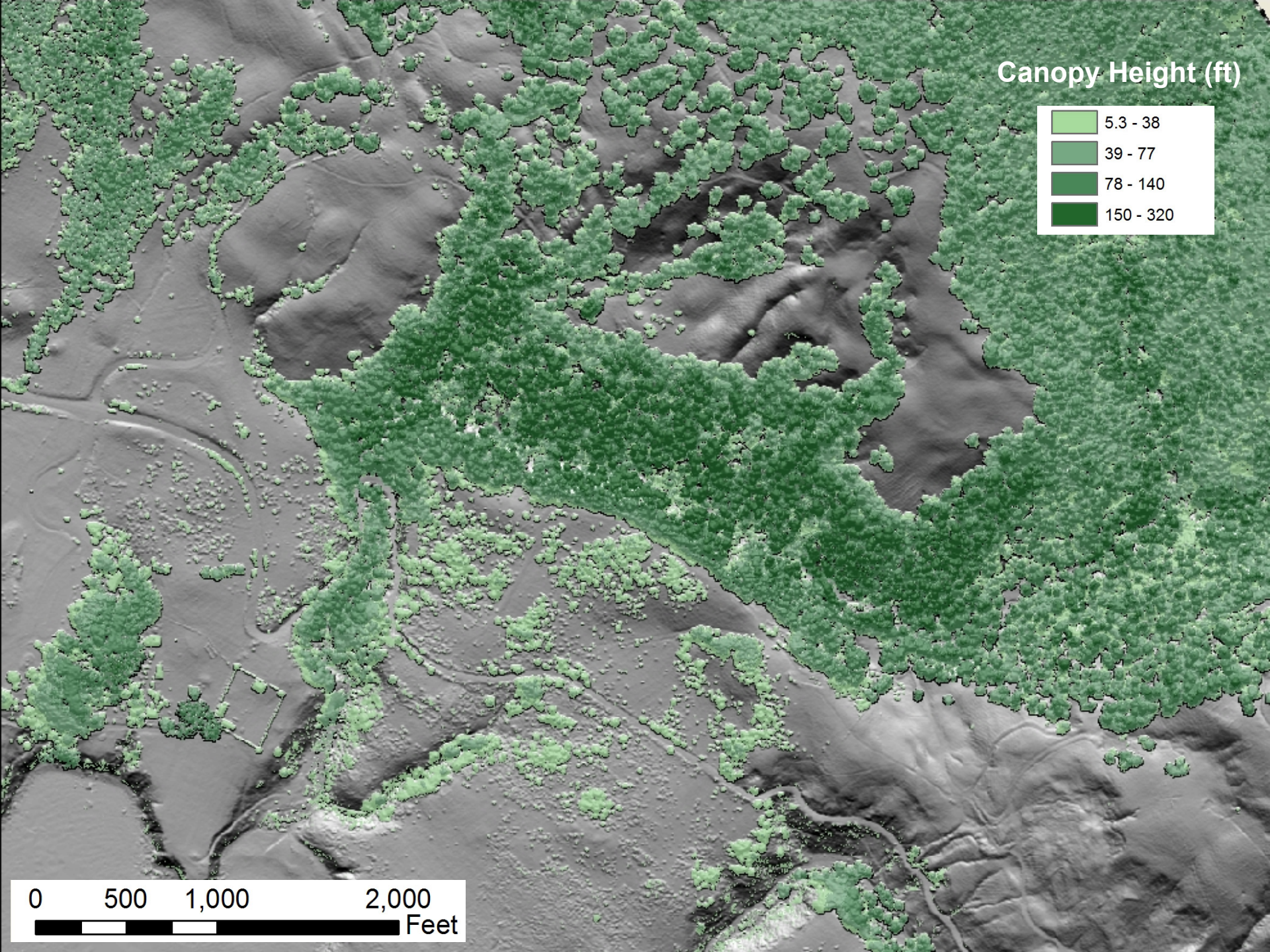
## Angular Step



Rule of thumb: scan at least  $1/10^{\text{th}}$  of the “wavelength” of the object you wish to image.



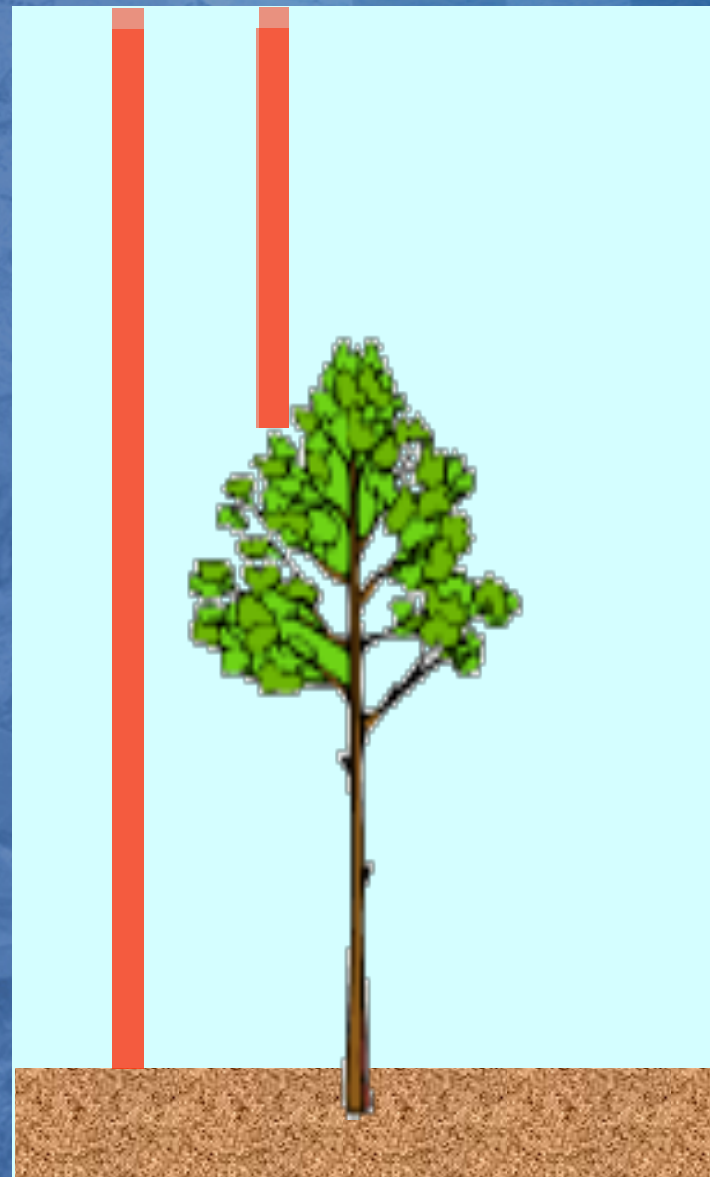






# Returns

- Single Return
- Multiple returns
- Waveform Returns



# Returns

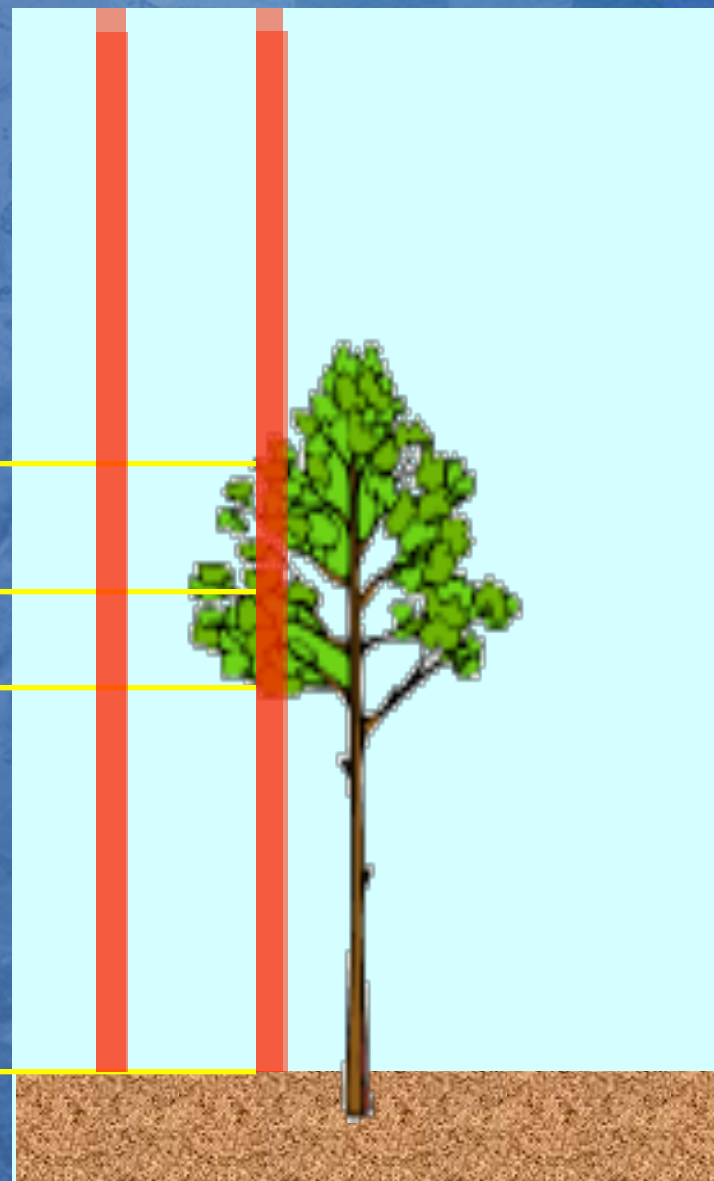
- Single Return
- Multiple returns
- Waveform Returns

1<sup>st</sup> return

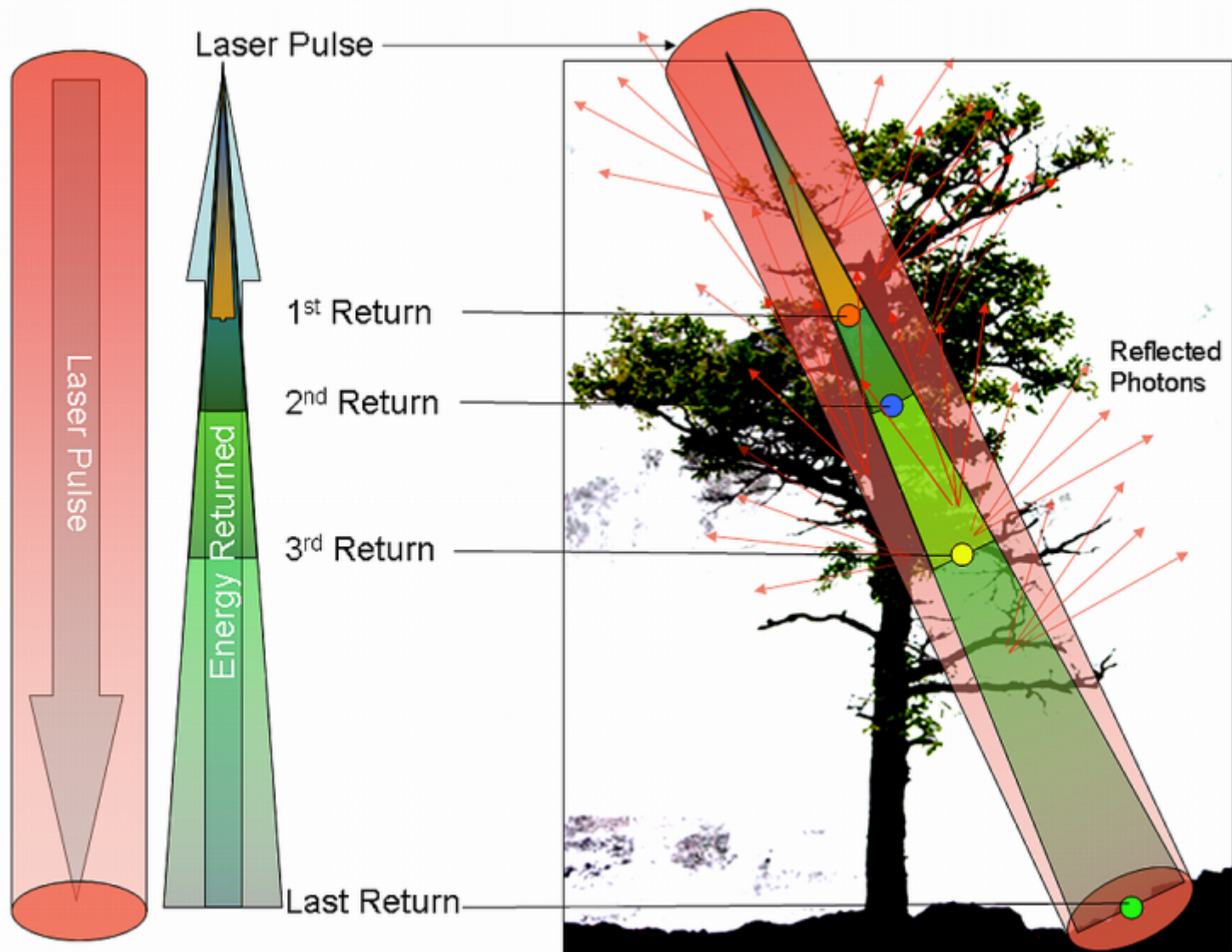
2<sup>nd</sup> return

3<sup>rd</sup> return

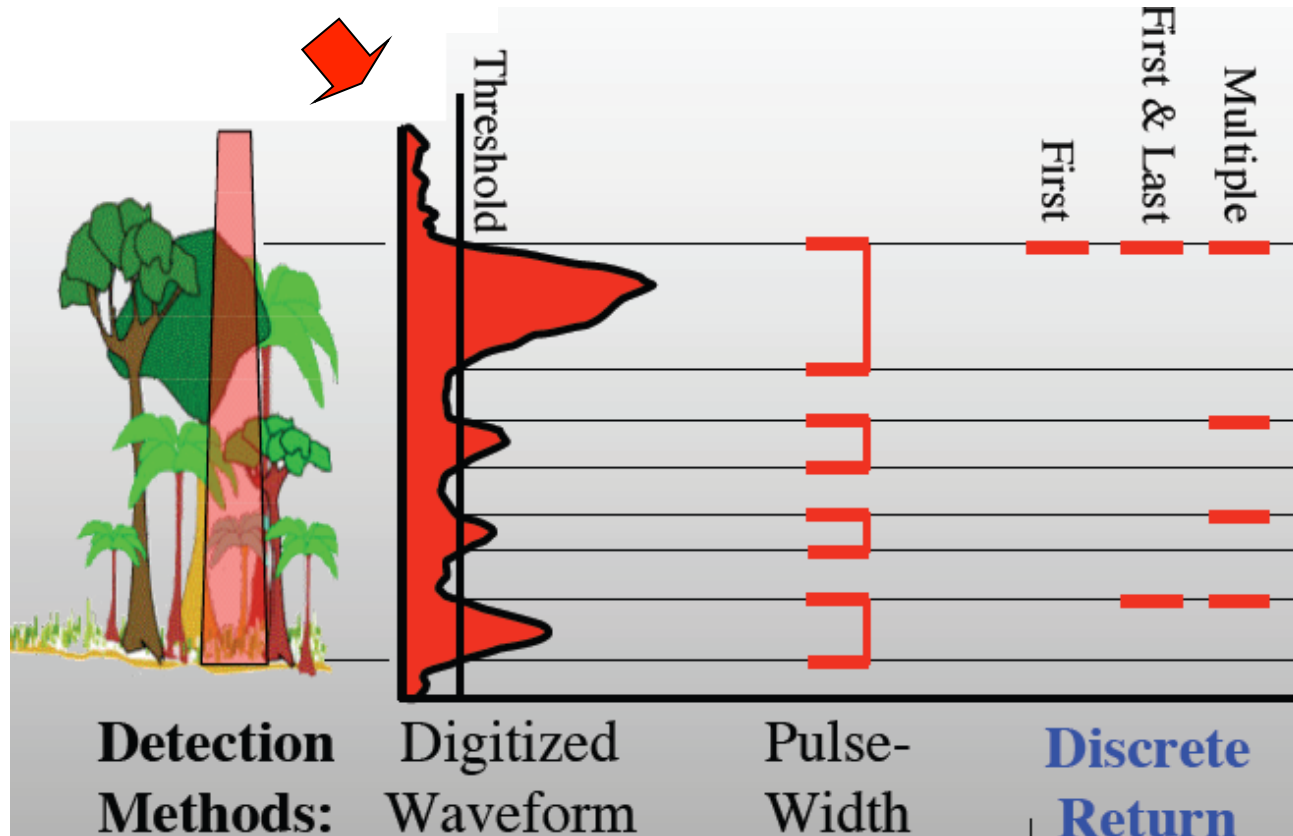
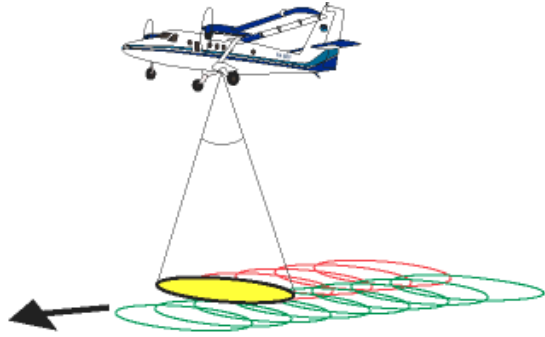
4<sup>th</sup> return







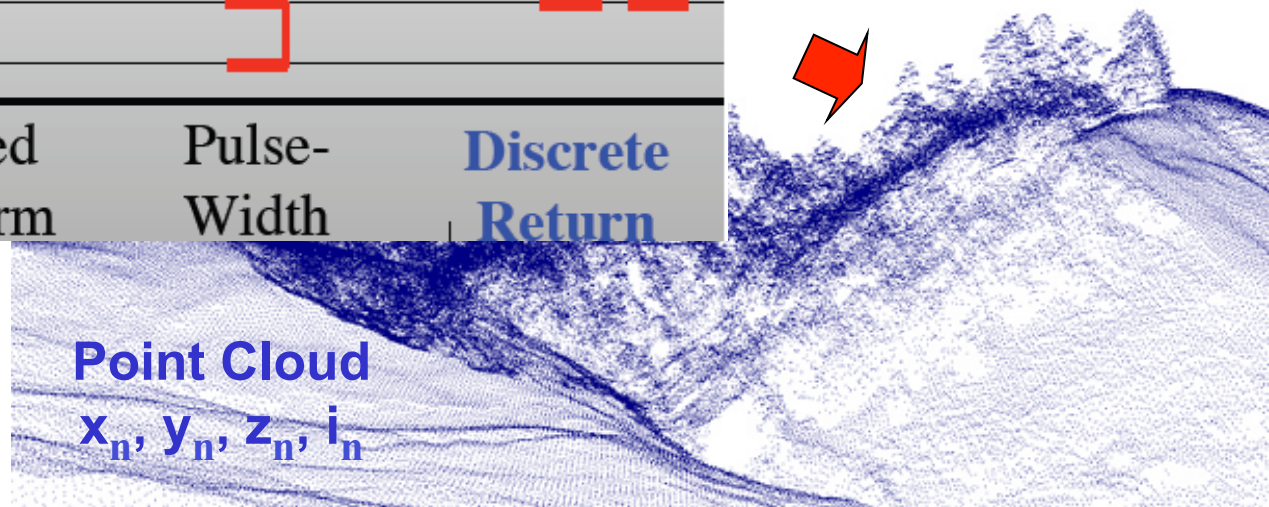
# Lidar = Geodesy and signal processing



*D. Harding, NASA*

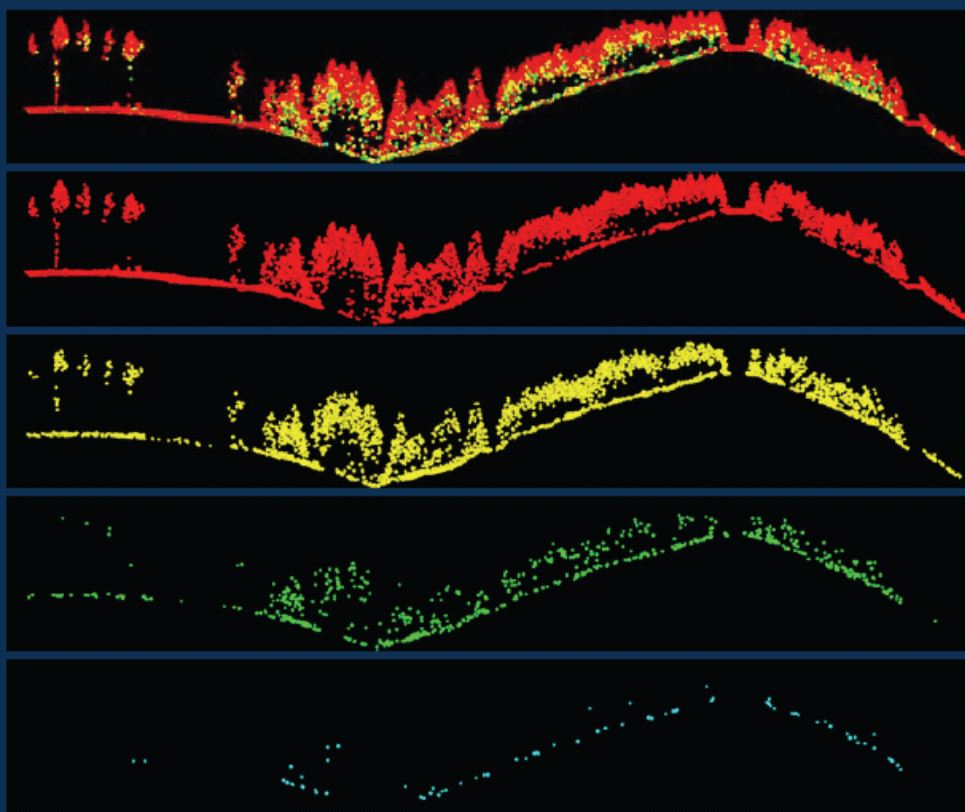
**Point Cloud**

$x_n, y_n, z_n, i_n$





# Multiple Return lidar systems



All returns (16,664 pulses)

1<sup>st</sup> returns

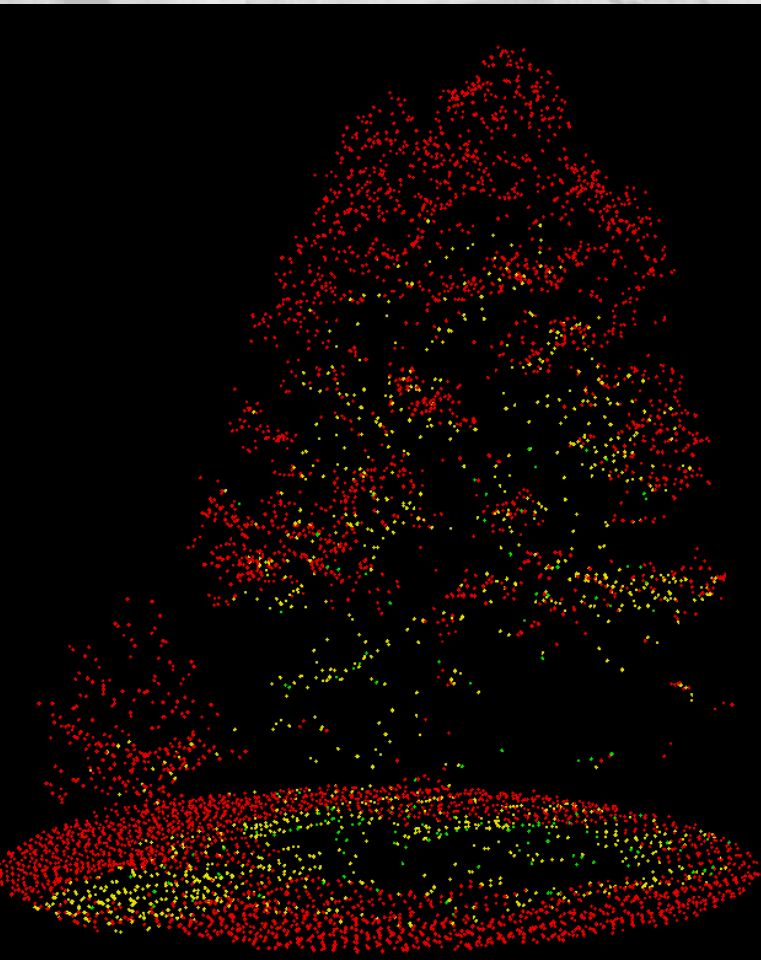
2<sup>nd</sup> returns (4,385 pulses, 26%)

3<sup>rd</sup> returns (736 pulses, 4%)

4<sup>th</sup> returns (83 pulses, <1%)

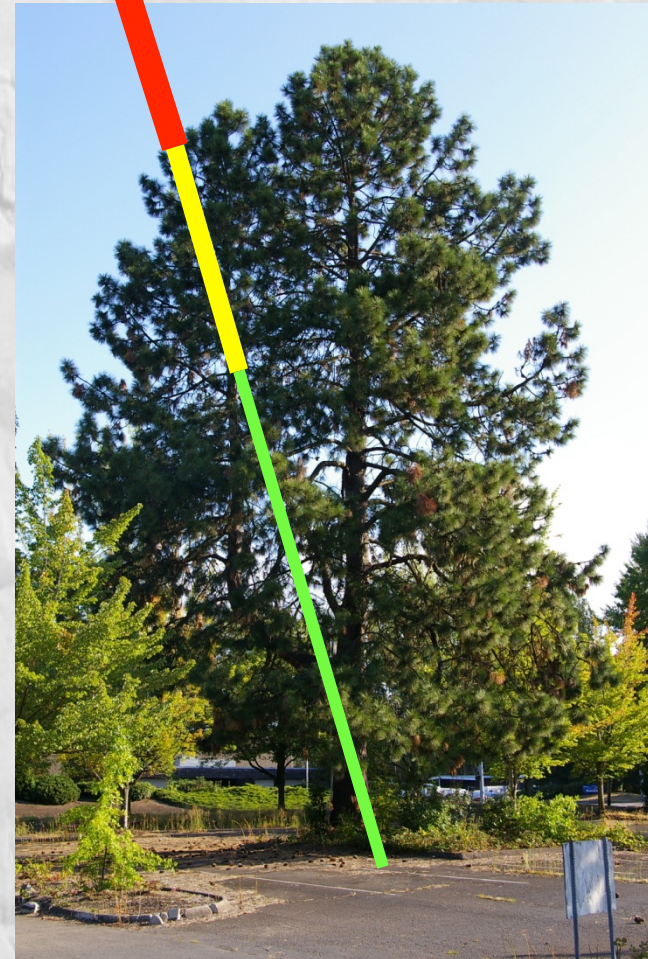
# Each laser pulse can produce multiple consecutive measurements from reflections off several surfaces in its path

Ian Madin, DOGAMI



- Left = point cloud view of the tree in the photo on the right. Each point is colored by which return it was from a particular pulse:

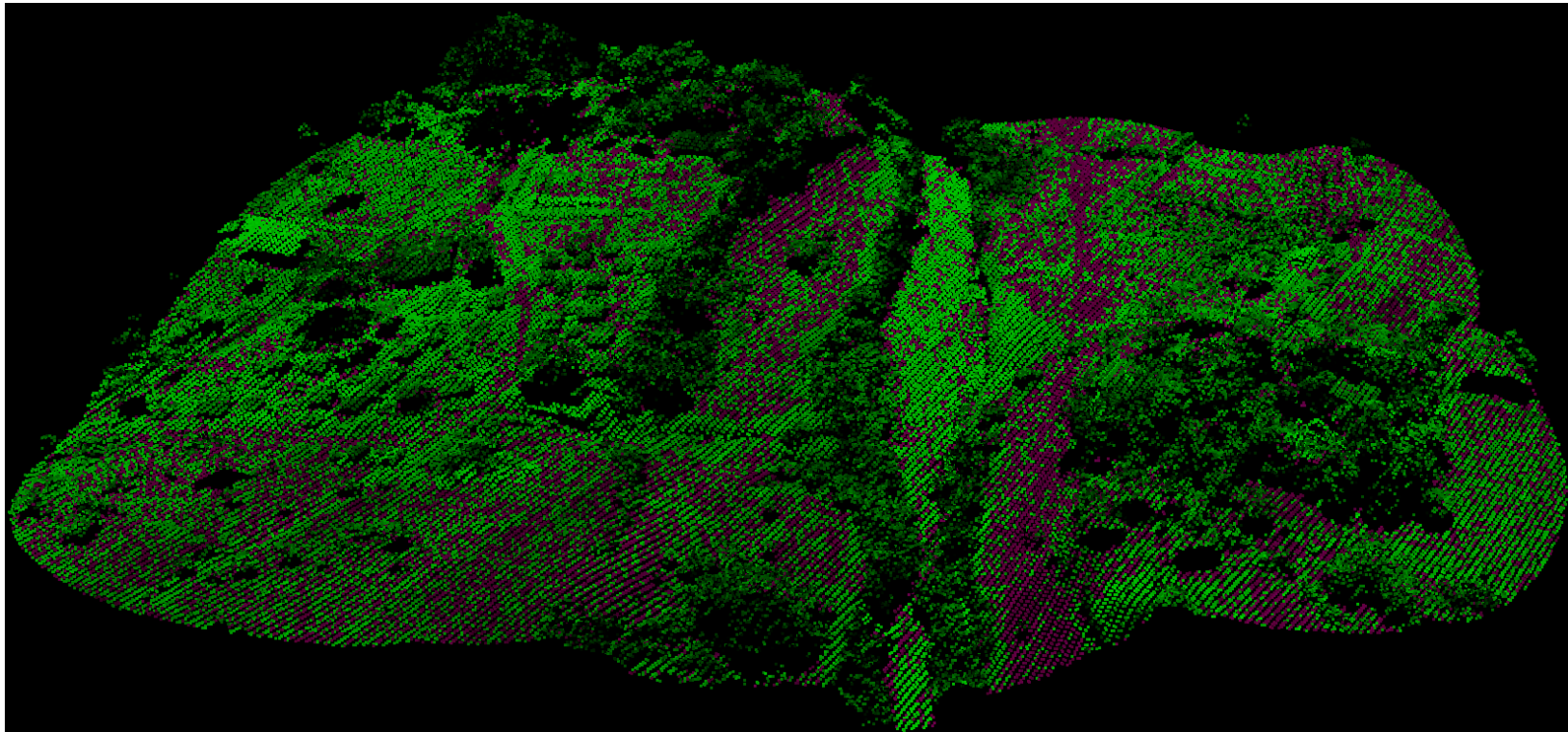
- Red = 1<sup>st</sup>
- Yellow = 2<sup>nd</sup>
- Green = 3<sup>rd</sup>





# Vegetation is a headache is geoscientists

- *Our noise is someone else's signal*
- How to get good ground model? - Automated vs manual?



Dumay Slip-  
Rate Site,  
Enriquillo  
Fault, Haiti

P. Gold, UCD

# What is ground?

Three assumptions:

1. **Ground is smooth**
2. Ground is continuous (single-valued)
3. Ground is lowest surface in vicinity

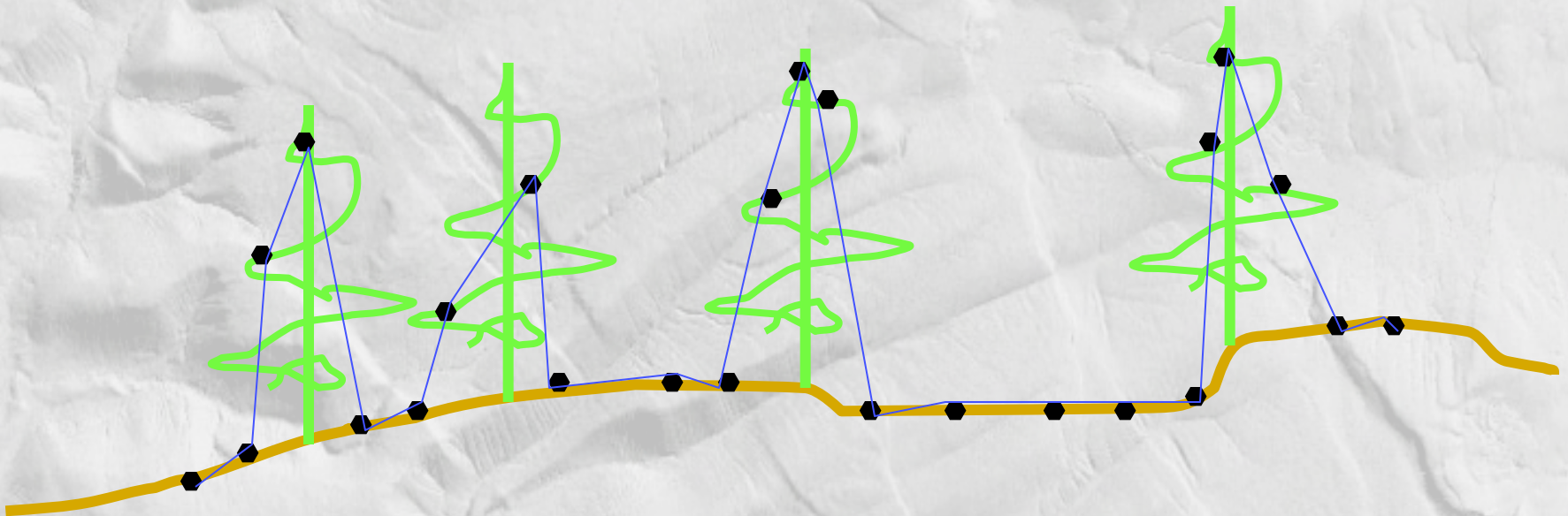


# Ground is smooth $\Rightarrow$ despiking algorithm

## *Approach:*

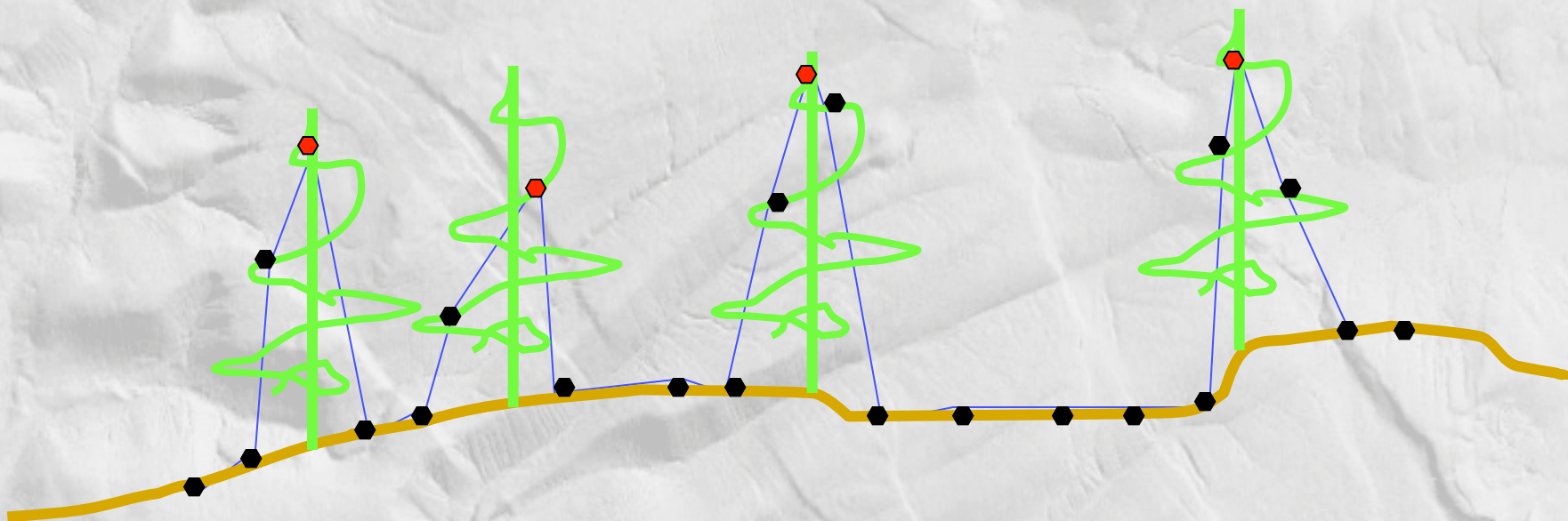
1. flag all points as ground
2. repeat:
  - build TIN (triangulated irregular network) of ground points
  - identify points that define strong positive curvatures
  - flag identified points as not-ground
3. Iterate until no or few points are flagged

Start with mixed ground and canopy returns (e.g. last-return data), build TIN





# Flag points that define spikes (strong convexities)

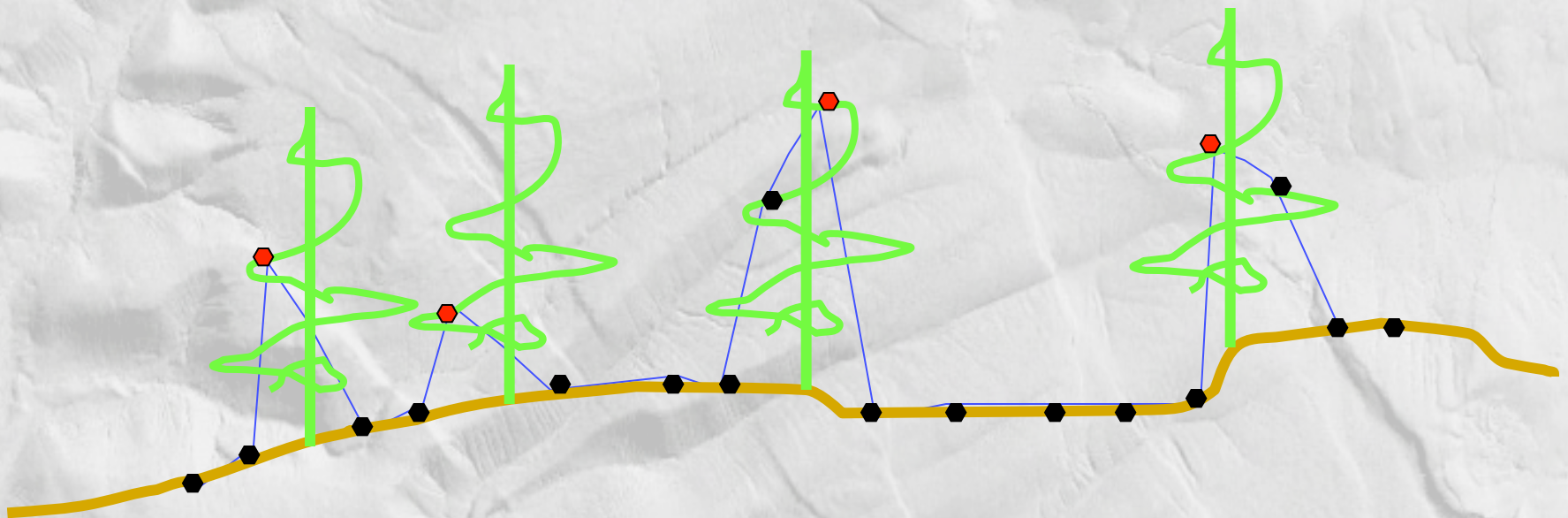


# Rebuild TIN

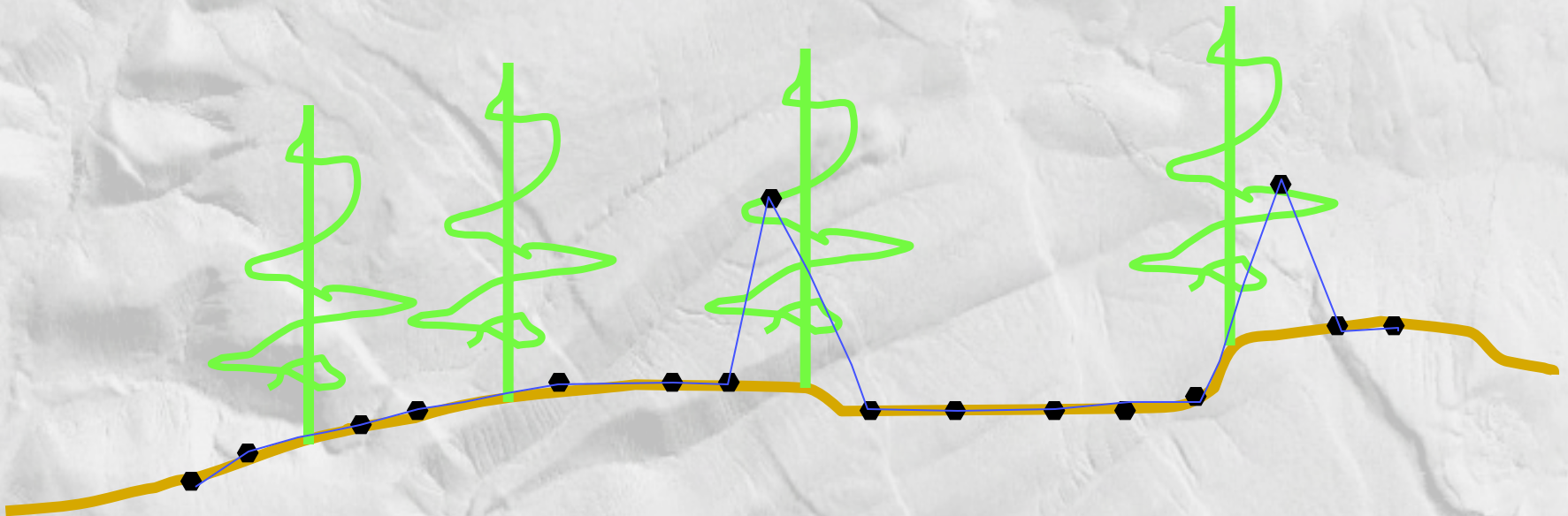




# Flag points that define spikes (strong convexities)

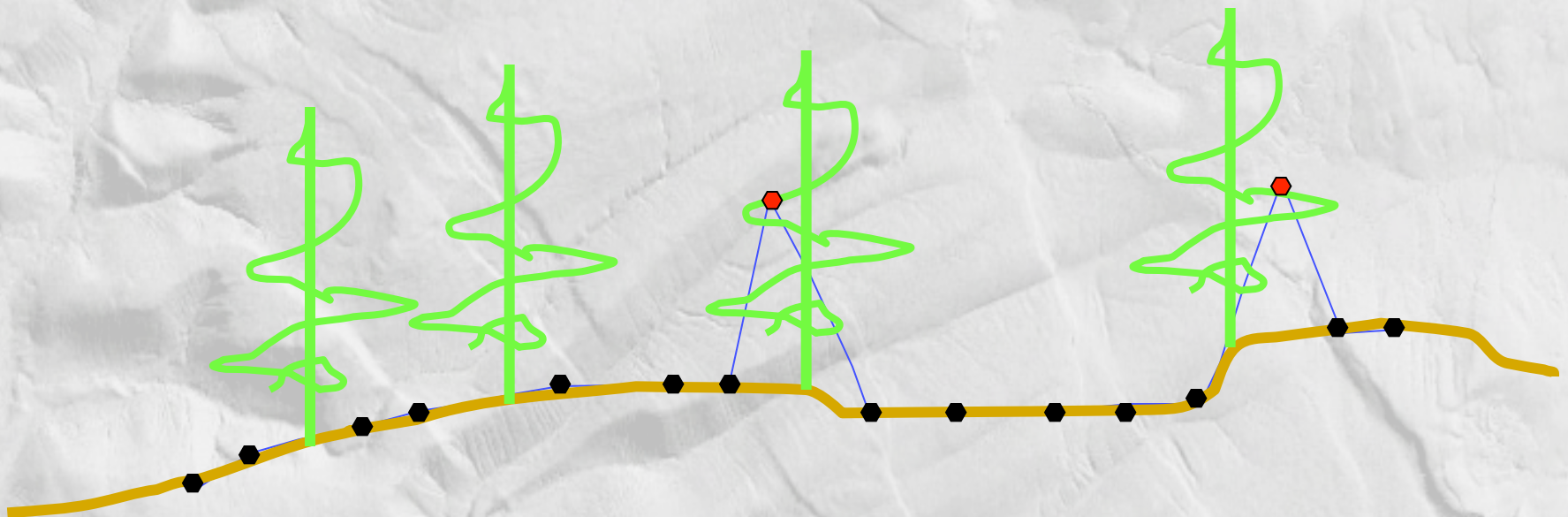


# Rebuild TIN





Flag points that define spikes  
(strong convexities)



# Rebuild TIN



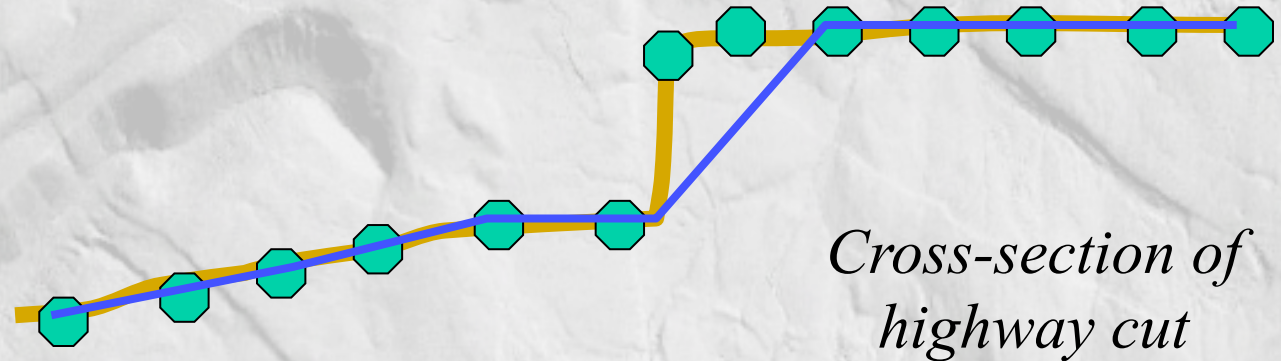


# Despike algorithm

## Benefits:

- It works
- It's automatic
  - All assumptions explicit
- It can preserve breaklines
- It appears to retain more ground points than other algorithms

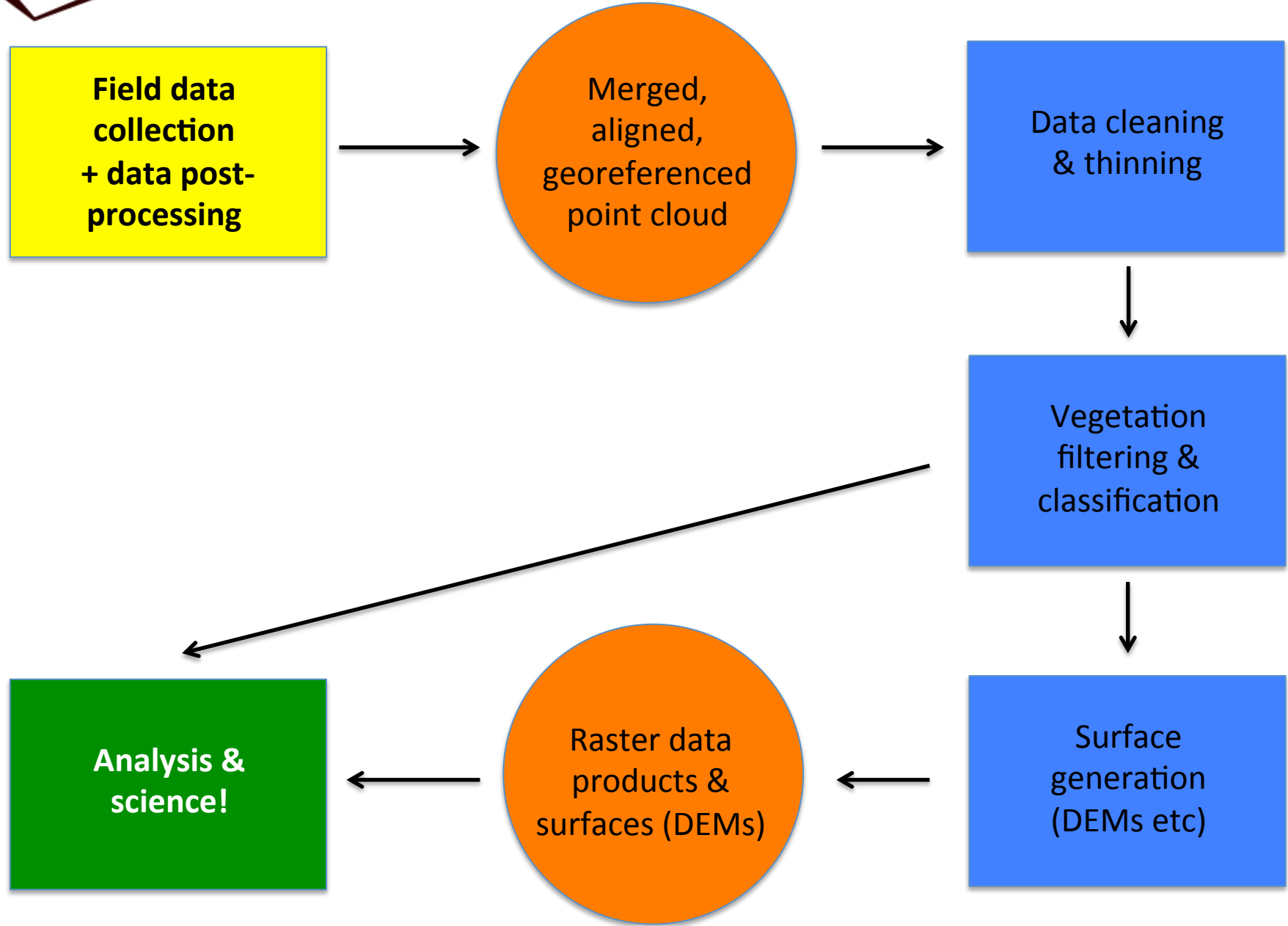
# Despike algorithm



## Problems:

- Removes some corners
- Sensitive to negative blunders
- Computationally intensive
- Makes rough surfaces
  - Real? Measurement error? Misclassified vegetation?





## Showcase Tool #1: TLS Terrestrial Laser Scanner



- Project: 2011 Japan Tsunami measurements
- PI: Hermann Fritz (Georgia Tech)
- NSF RAPID project

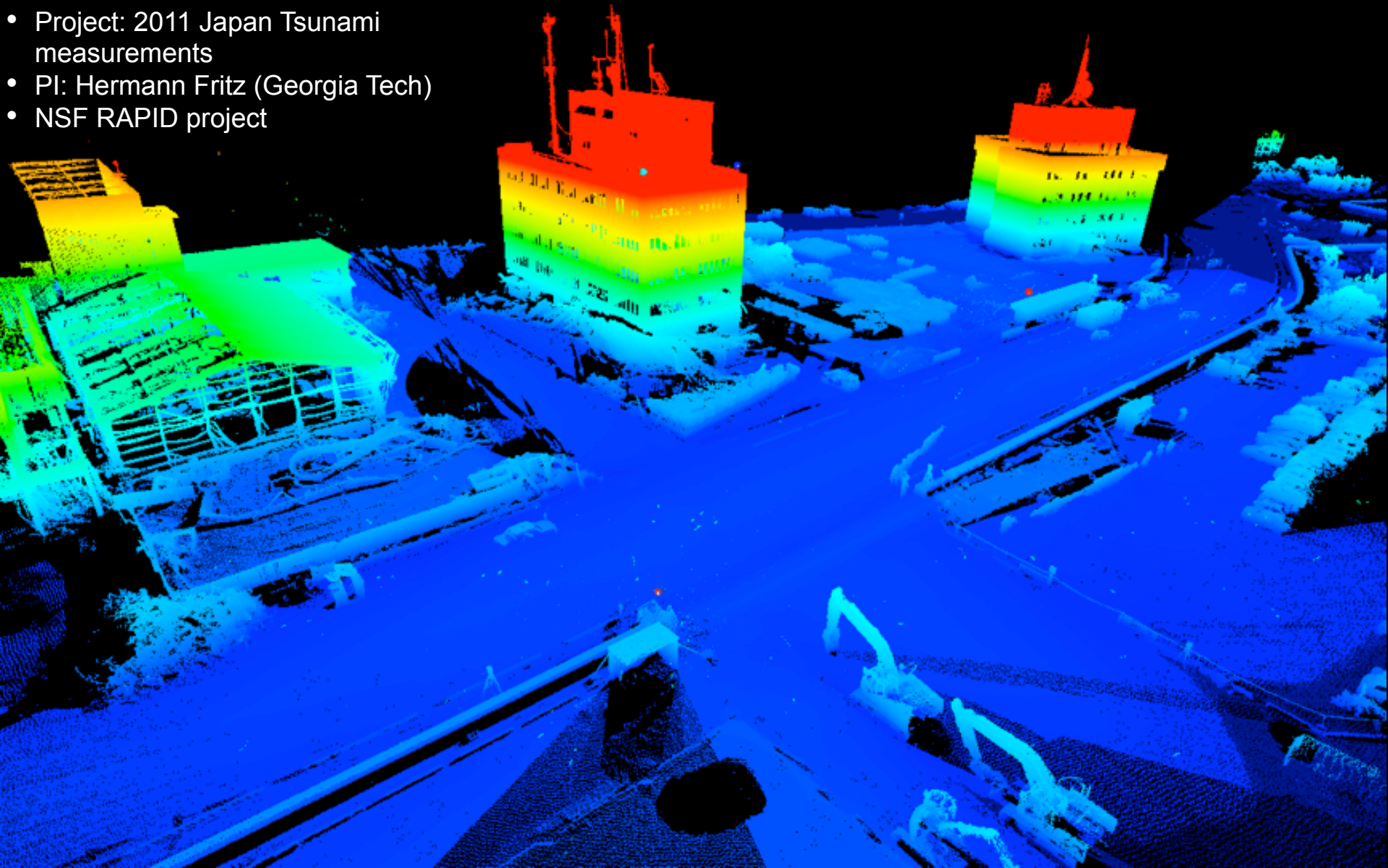




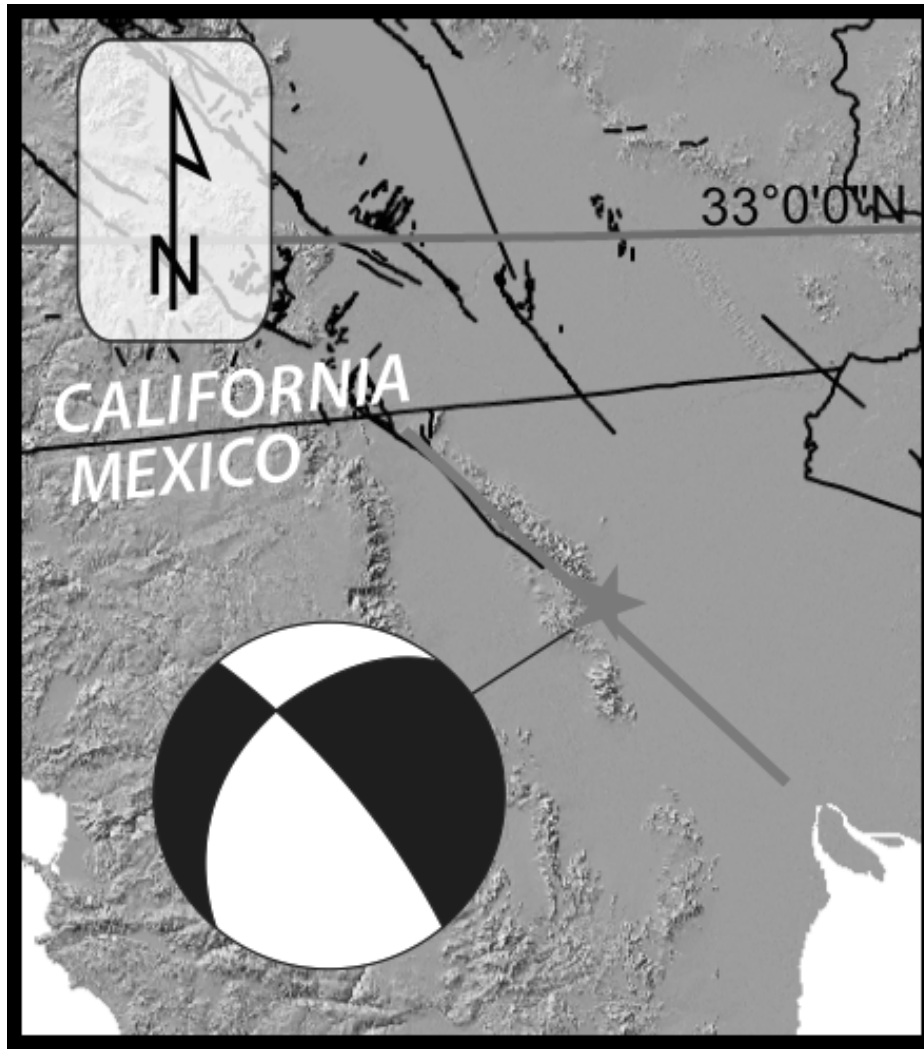




- Project: 2011 Japan Tsunami measurements
- PI: Hermann Fritz (Georgia Tech)
- NSF RAPID project



# El Mayor-Cucapah Earthquake

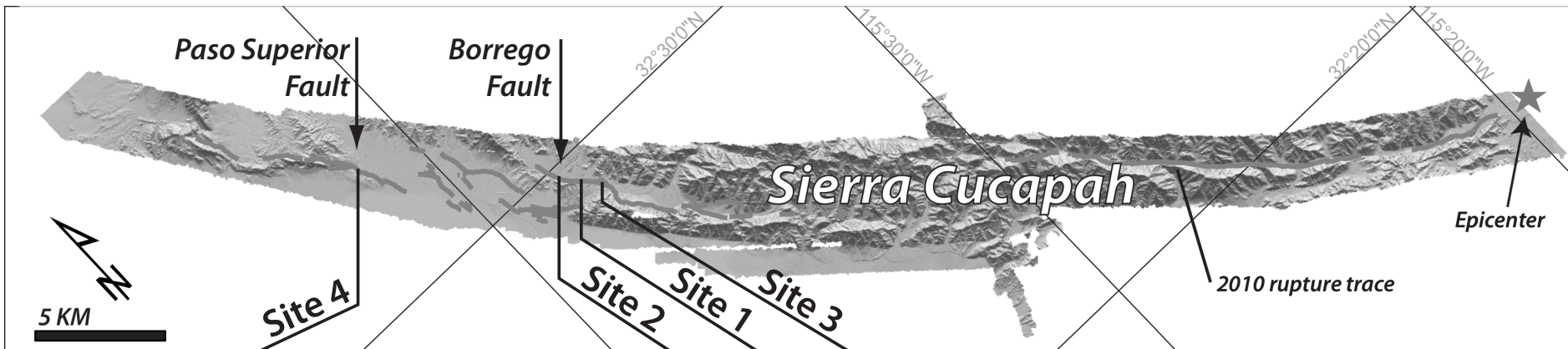


- April 4, 2010
- Mw 7.2
- ~100km rupture
- CA-Mexico border to the gulf
- > 3m right-normal slip north of epicenter
- < 1m right-normal blind faulting south of epicenter

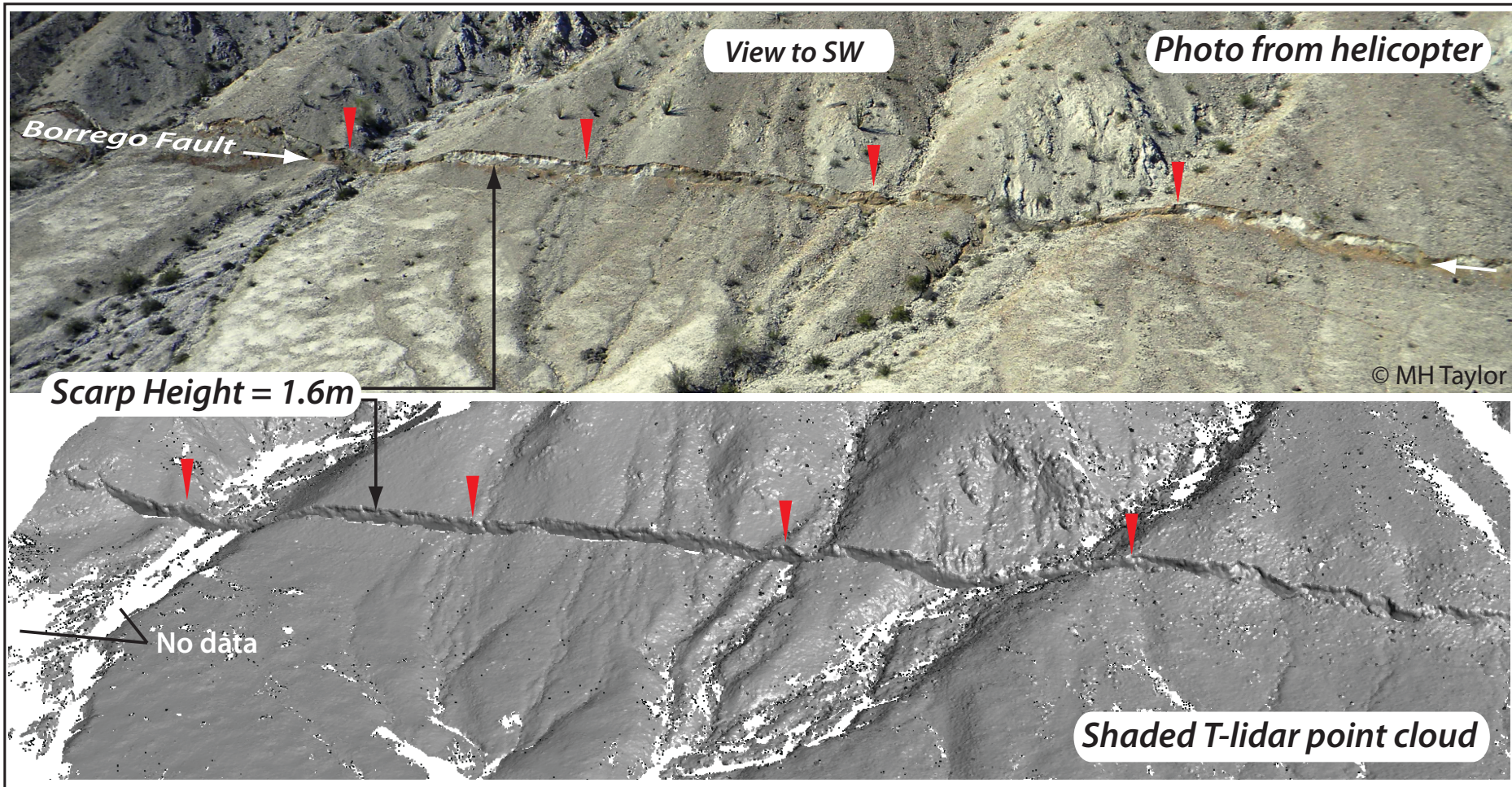


# Motivations: Data Collection

- Preserve primary rupture features for:
  - Remote measurement/analysis
  - Comparison to future scans
- Scan ruptures in a variety of geologic and geomorphic settings



# Scale of TLS coverage

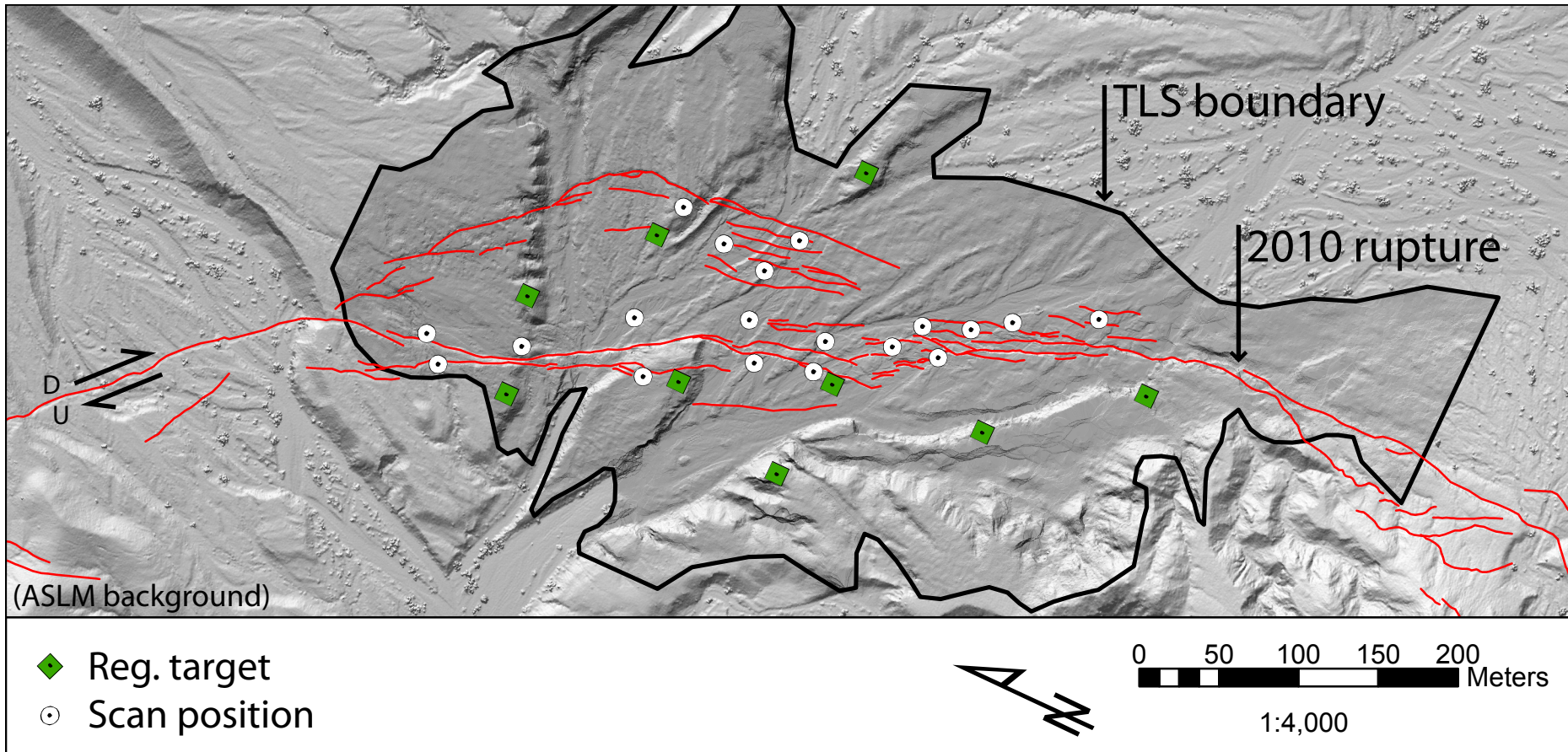
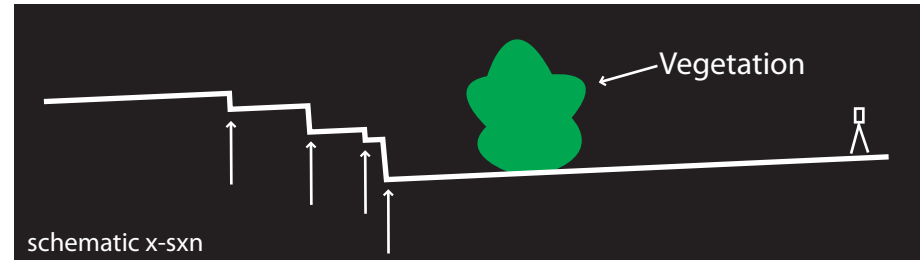


- ~200m along-strike distances

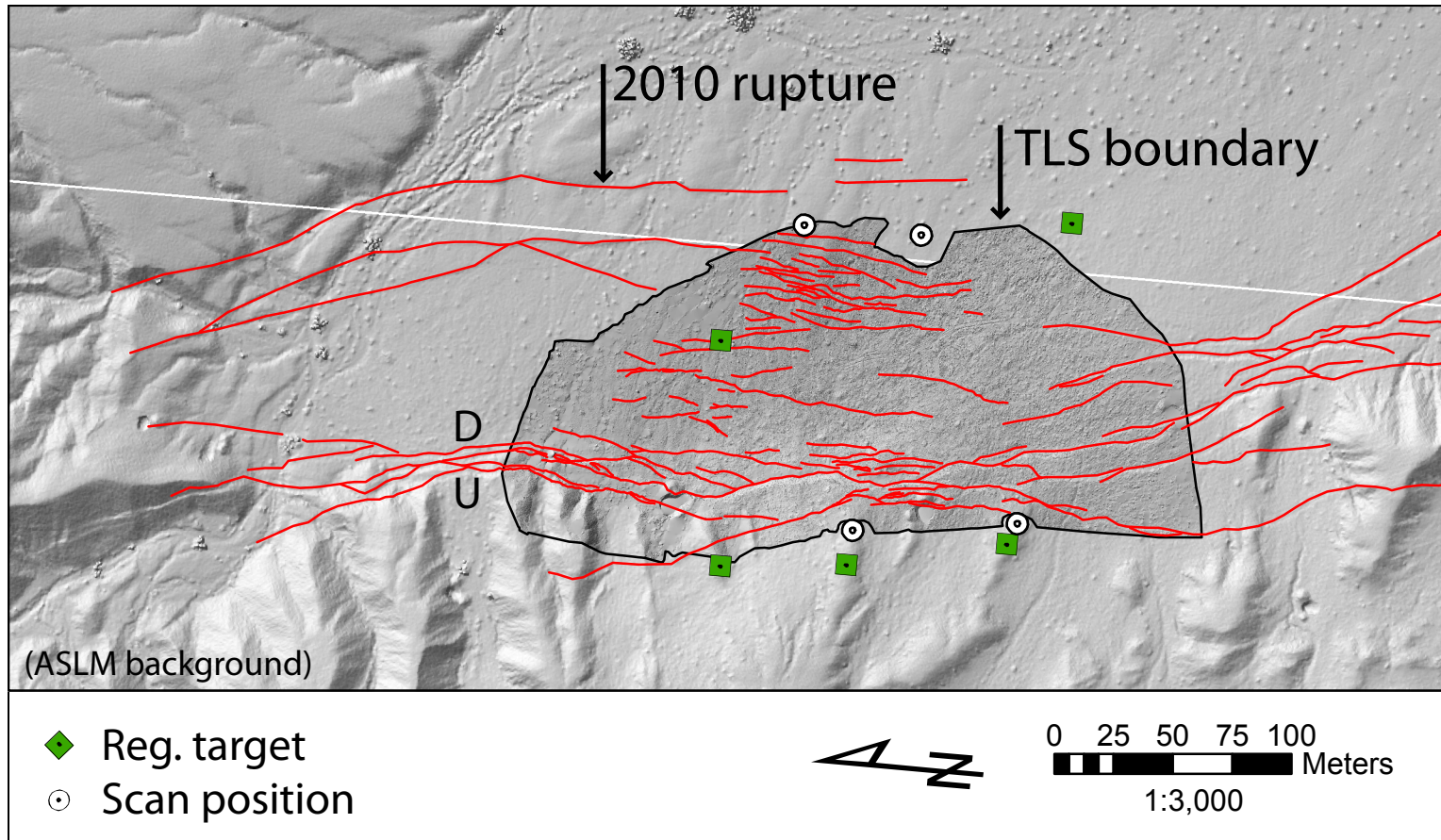


# Data Collection

P. Gold, UCD



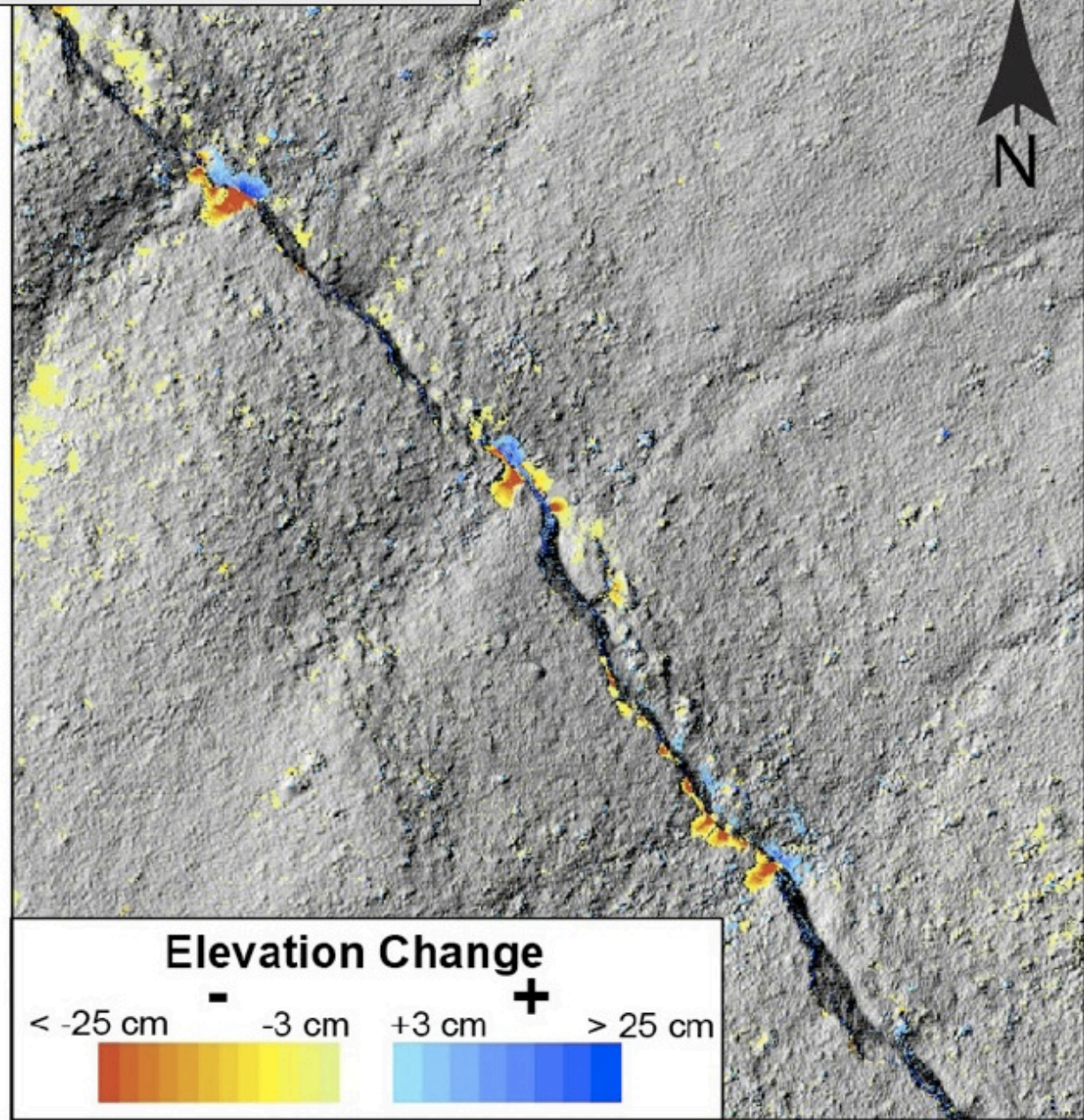
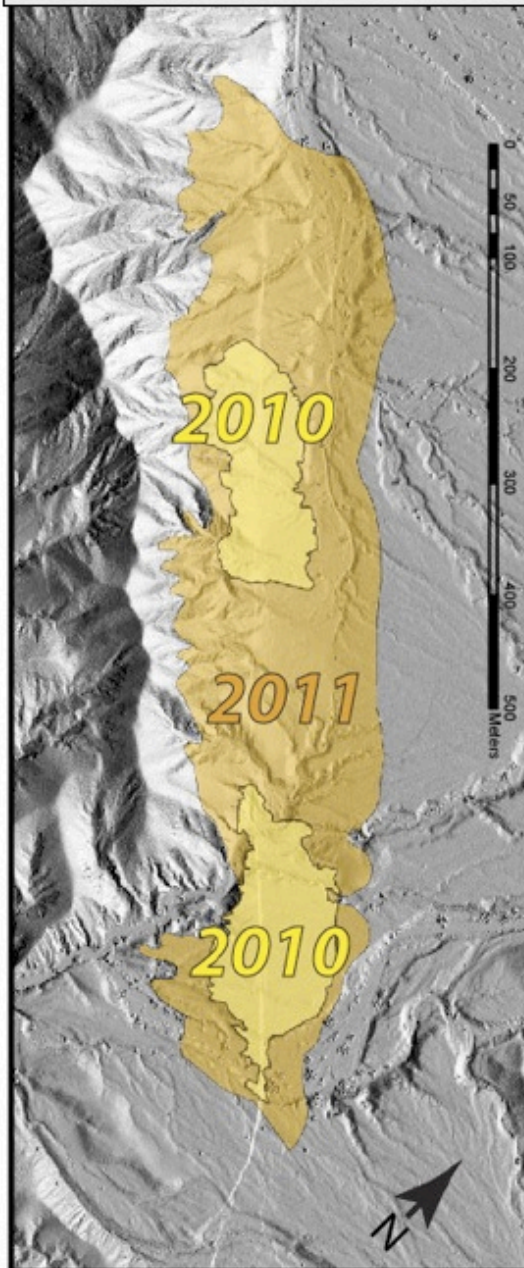
# Data Collection



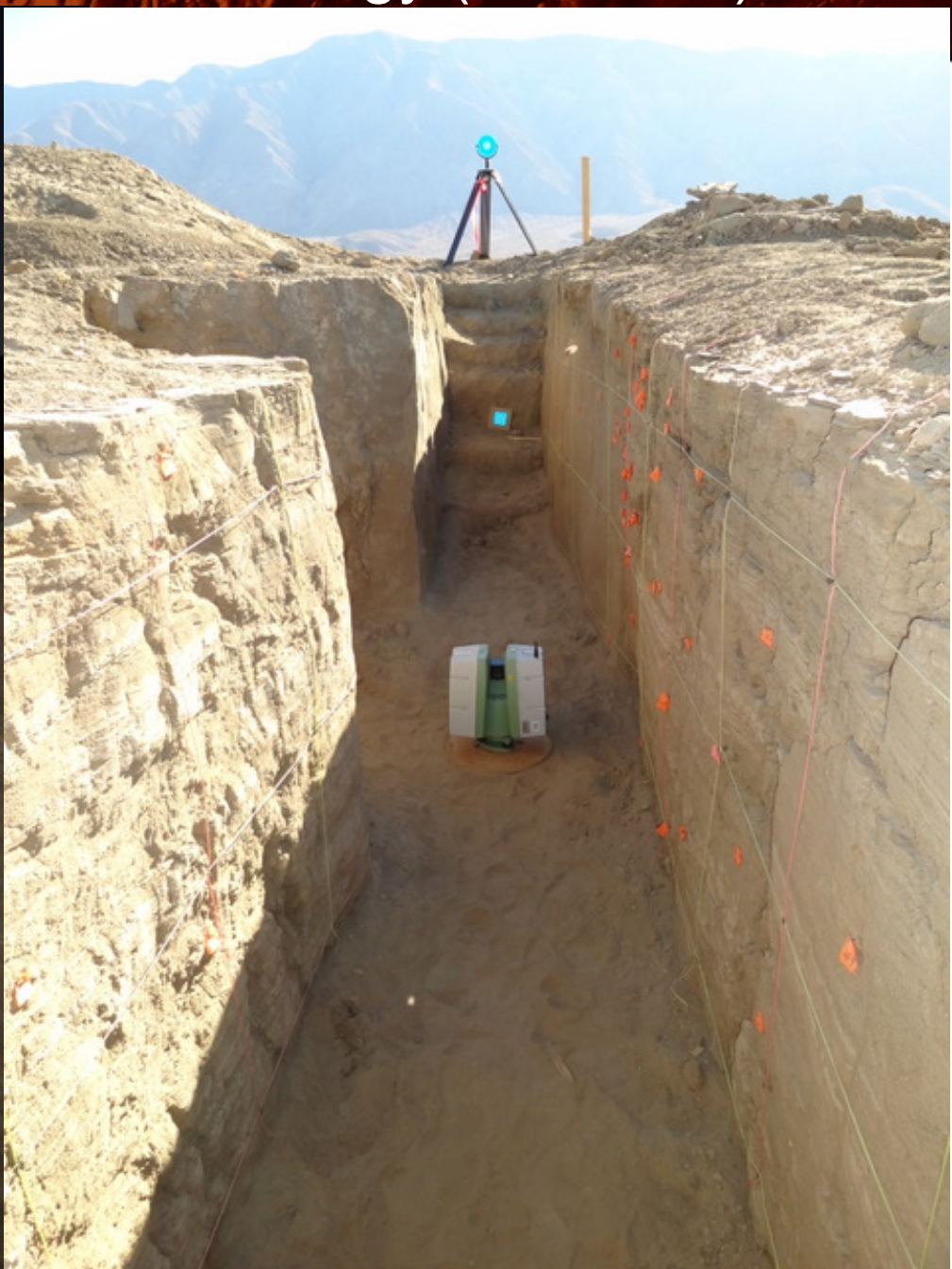


# Change Detection – Scarp Erosion

Austin Elliott (UC Davis Ph.D. student)



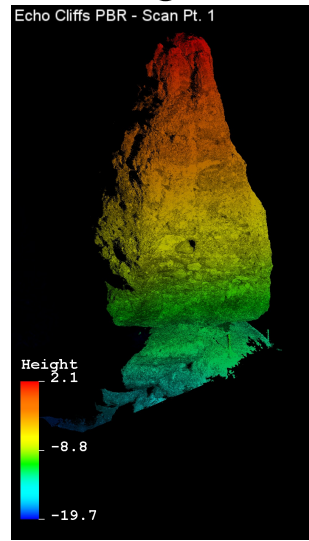
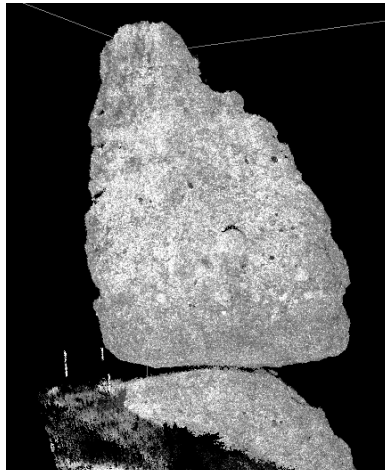






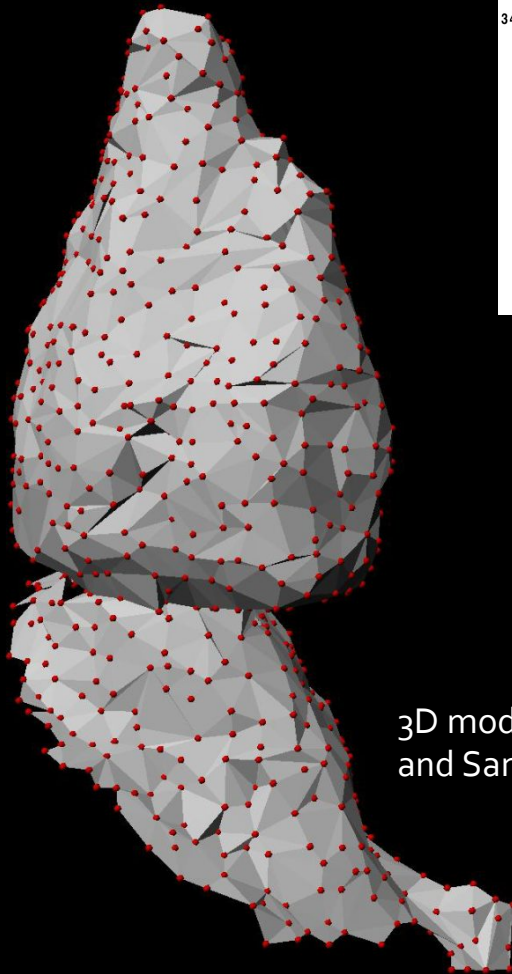
# Precariously Balanced Rocks (Hudnut)

- Project Highlight: Precariously balanced rock (PBR) near Echo Cliffs, southern California.
- PI: Ken Hudnut, USGS.
- Goal: generate precise 3D image of PBR in order to calculate PBR's center of gravity for ground motion models useful for paleoseismology, urban planning.

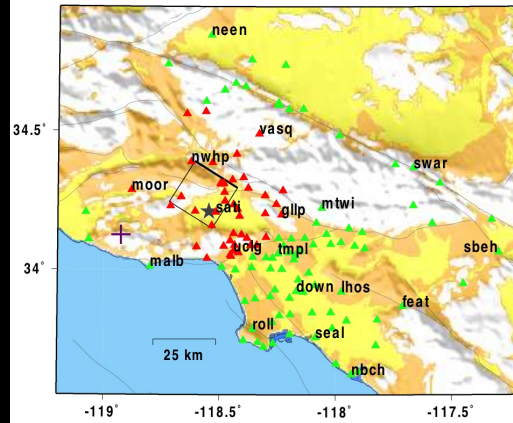


(Hudnut et al., 2009)

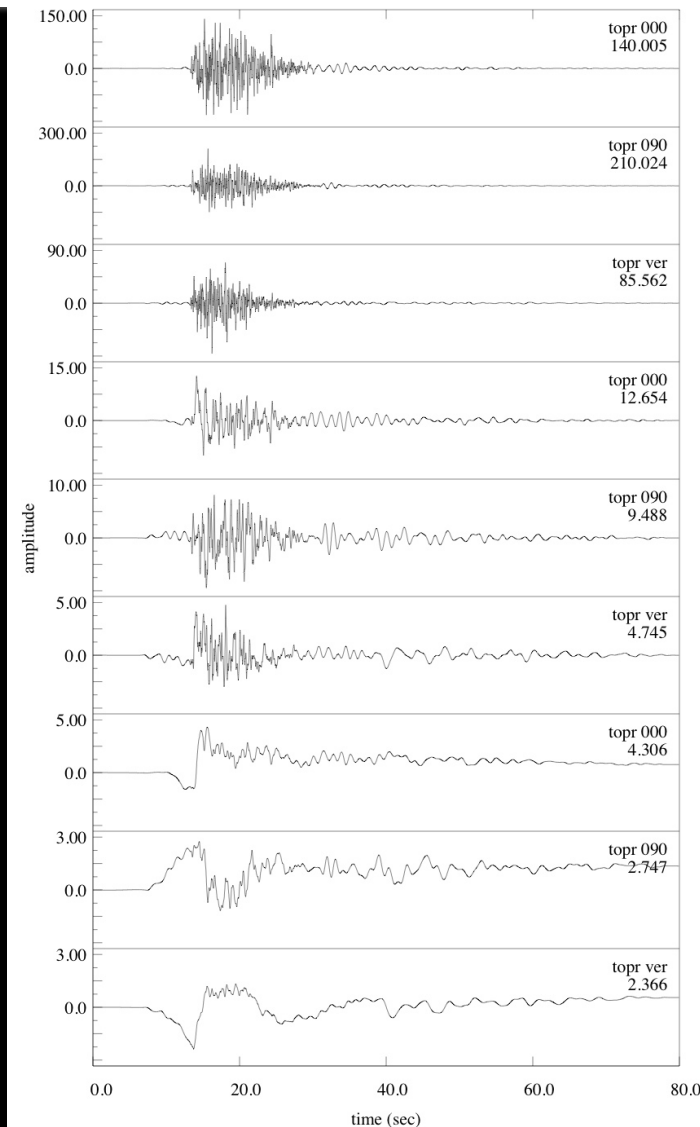
# 3D surface model (861 nodes) and simulated 1994 Northridge waveforms



3D model by Gerald Bawden and Sandra Bond



Northridge 1994 simulation by Rob Graves



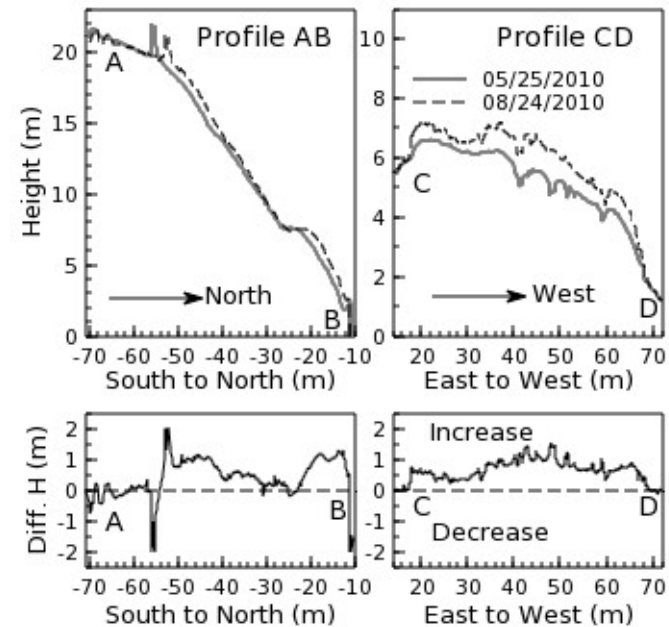
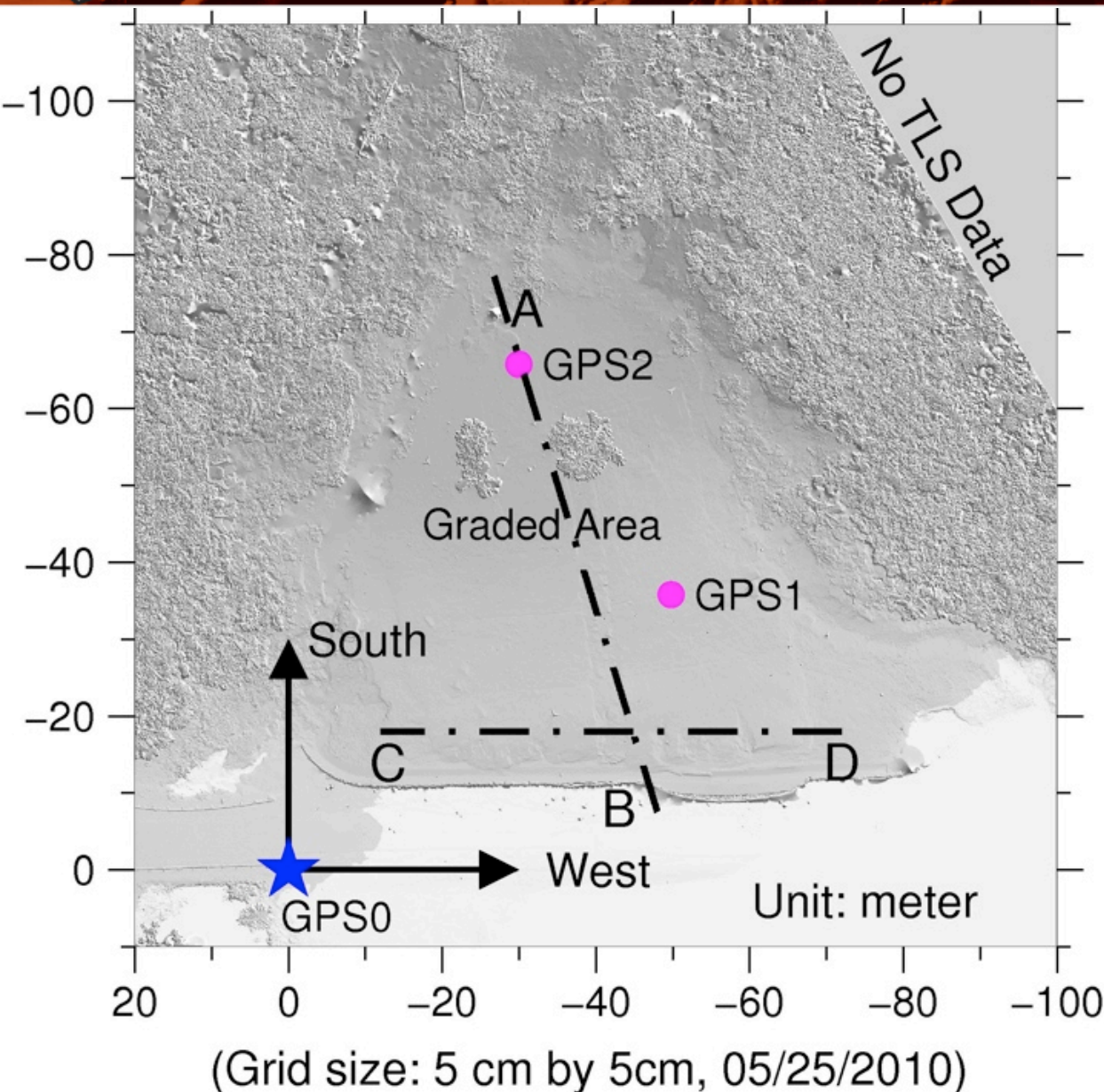


# Four Mile Fire Erosion (Moody, Tucker)





## Puerto Rico Landslide (Wang)

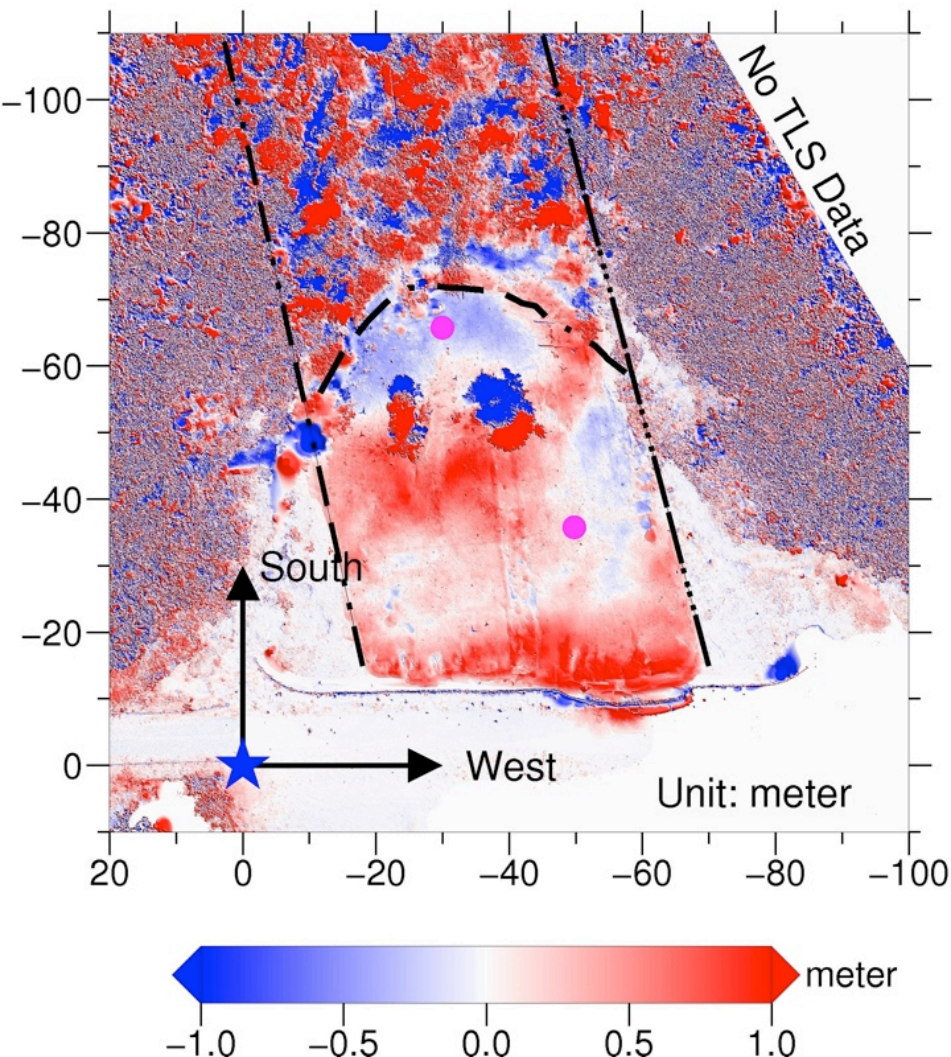


Wang et al., 2011

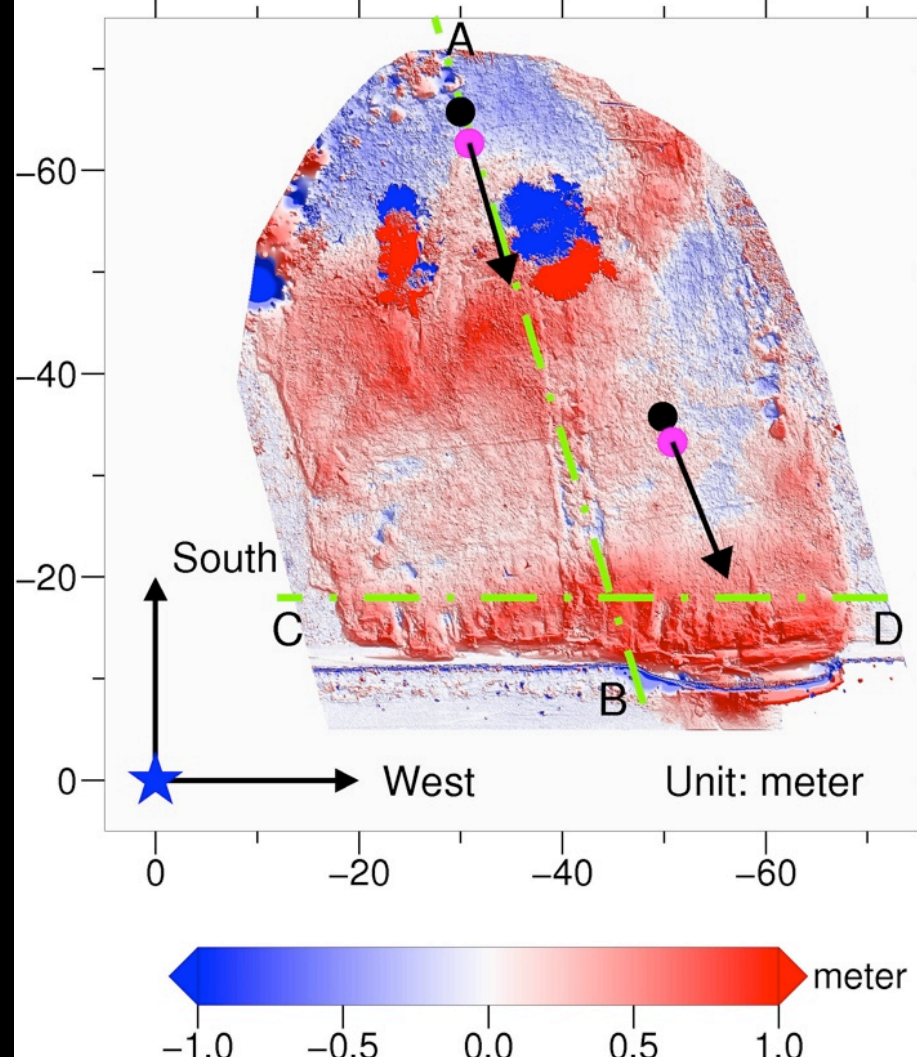


## Puerto Rico Landslide (Wang)

Diff.: 08/24/2010–05/25/2010



Diff.: 08/24/2010–05/25/2010

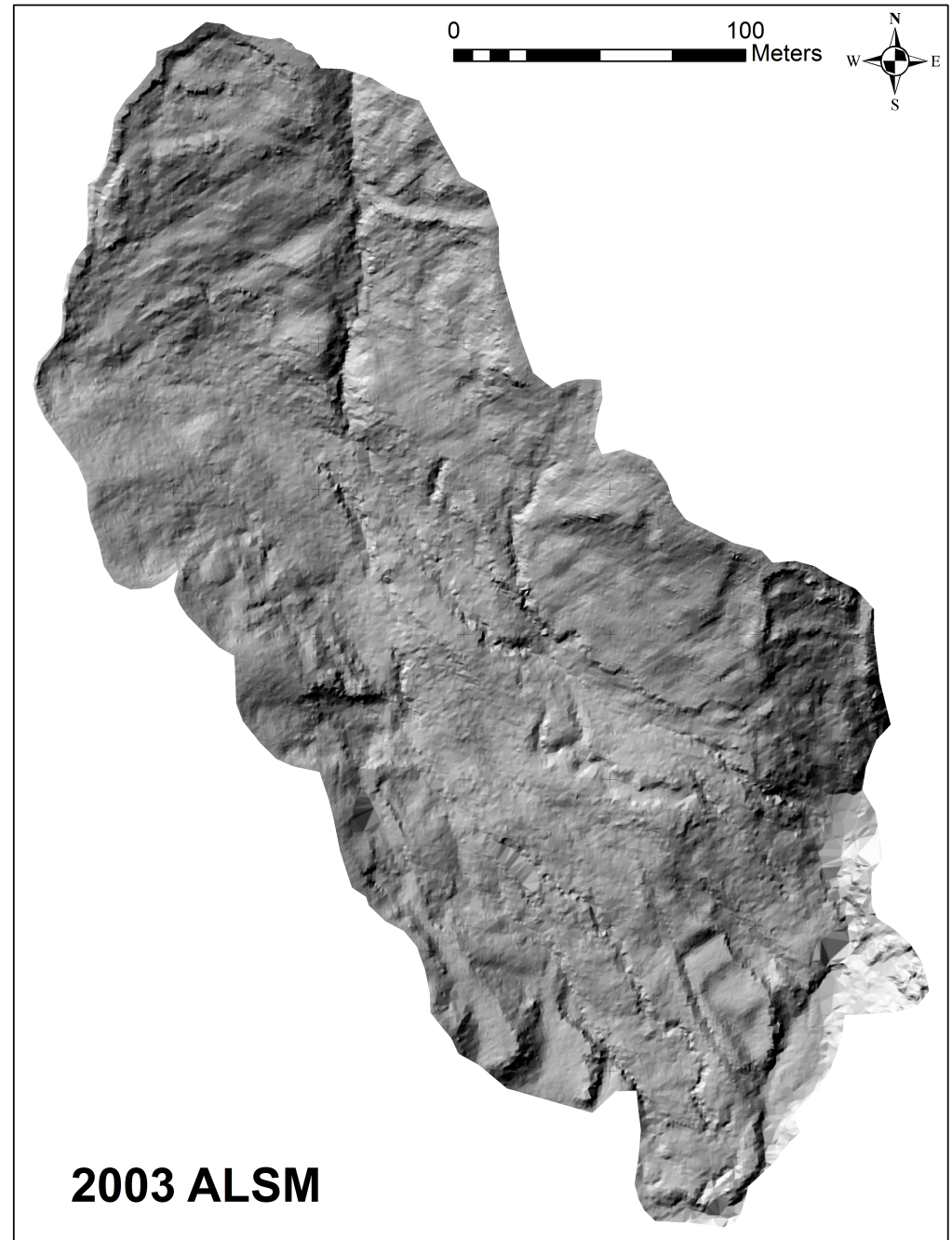


Wang et al., 2011

Repeat surveys give  
ability to quantify  
temporal change.

Integration of TLS and  
ALS data

*Animation:* S. Delong,  
USGS, Menlo Park



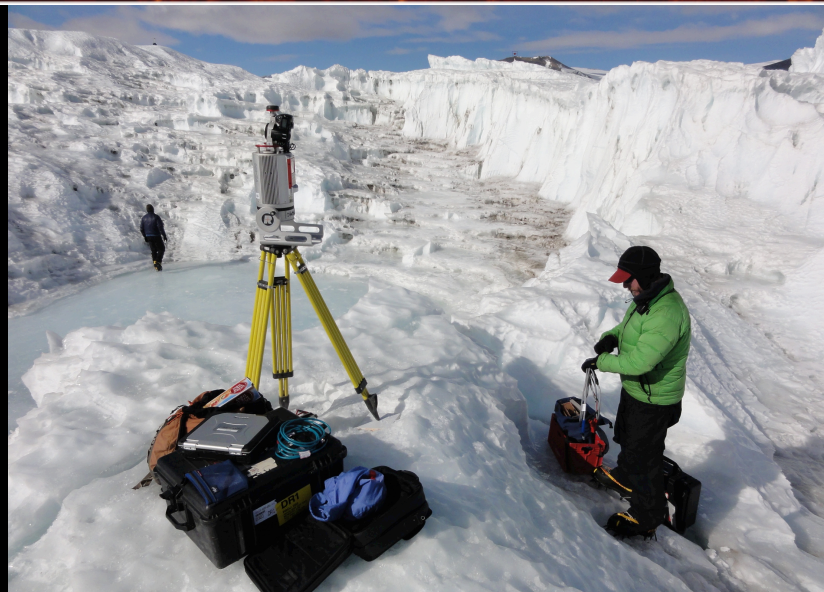


# Scanning in Polar Environments

- 10-15 Antarctic and Arctic Projects per yr
- Remote locations, challenging logistics (helicopter, icebreaker, backpack)
- Extreme environmental conditions:
  - -35C to +15C, 20-65 knot winds

## Science:

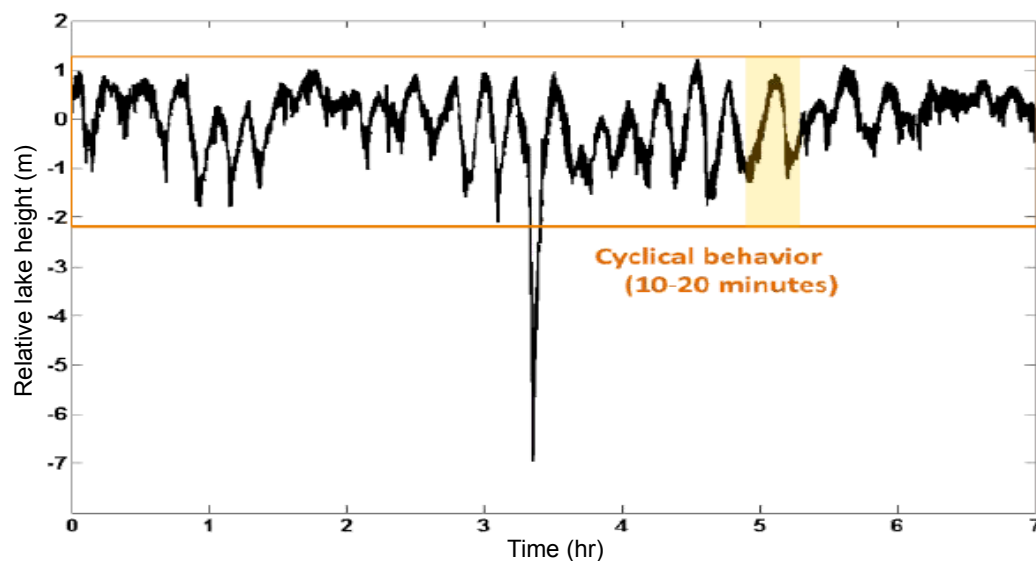
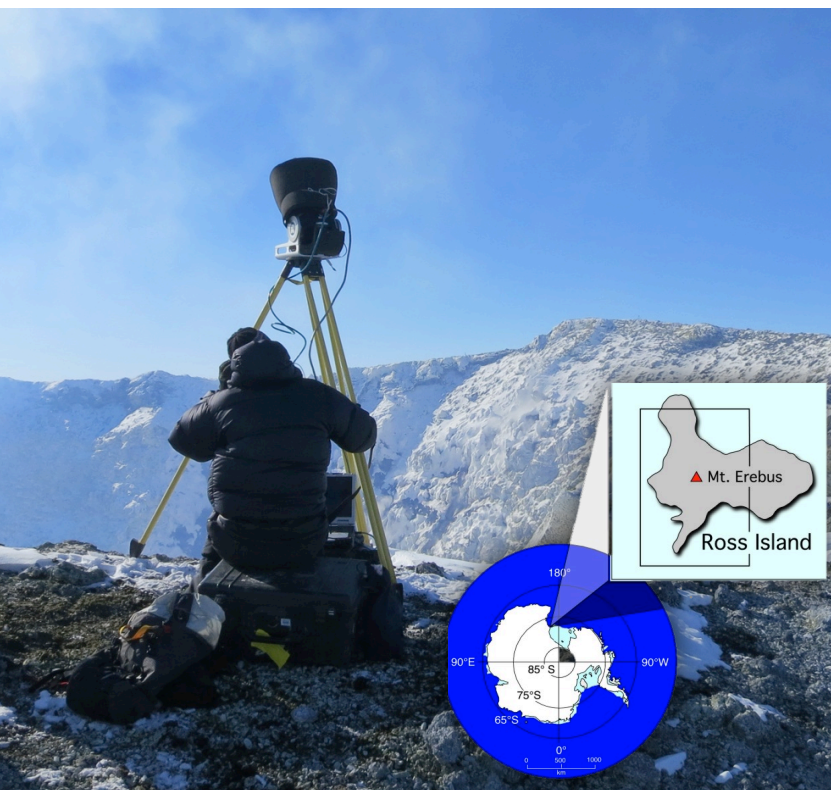
- *Geomorphology*: Frost polygons and ancient lake beds
- *Glaciology*: Glacier melt and ablation
- *Biology/Ecology*: Weddell Seal volume; Microtopology of tundra in Alaska
- *Archeology*: Human impact of climate change



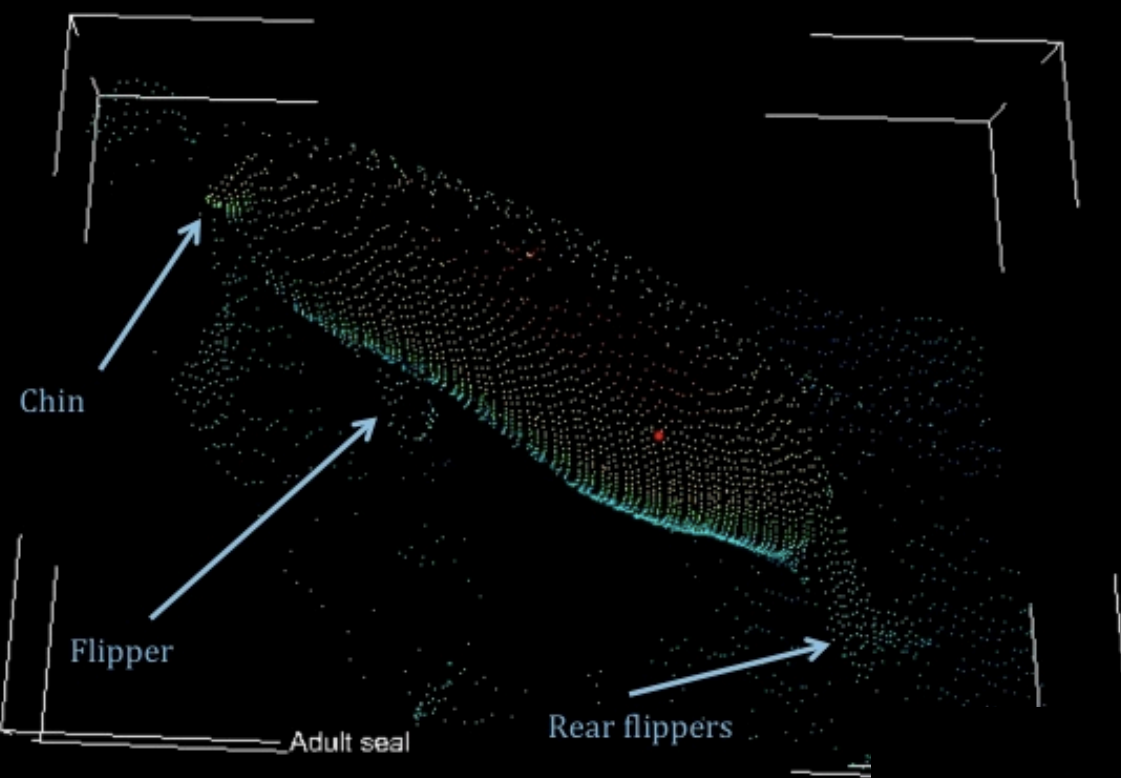


# Scanning in Polar Environments: Mount Erebus, Antarctica

- Lava lake scanned 2008 - 2013, revealing behaviors invisible to naked eye
- Inner crater scan used to augment and truth 2003 aerial scans
- Scans of ice caves and ice towers help determine thermal / energy budget of volcano

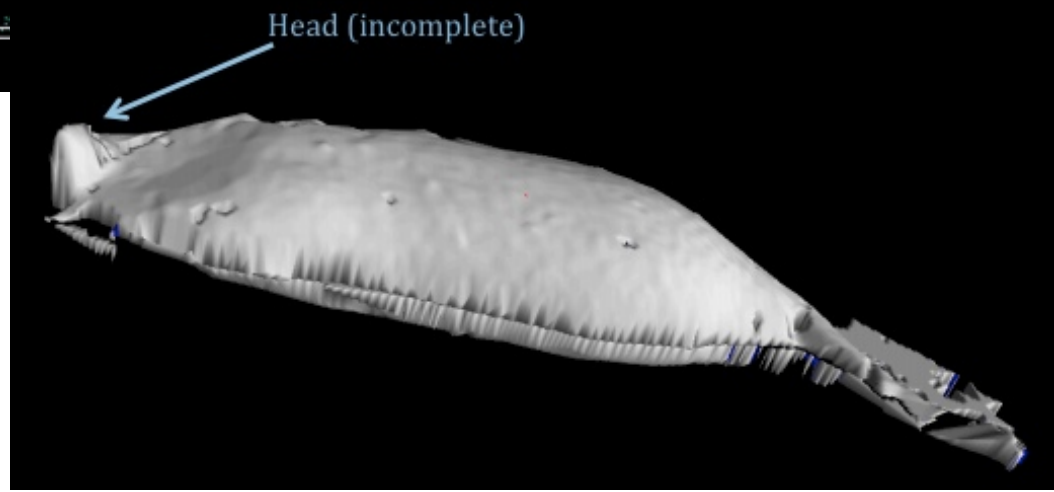




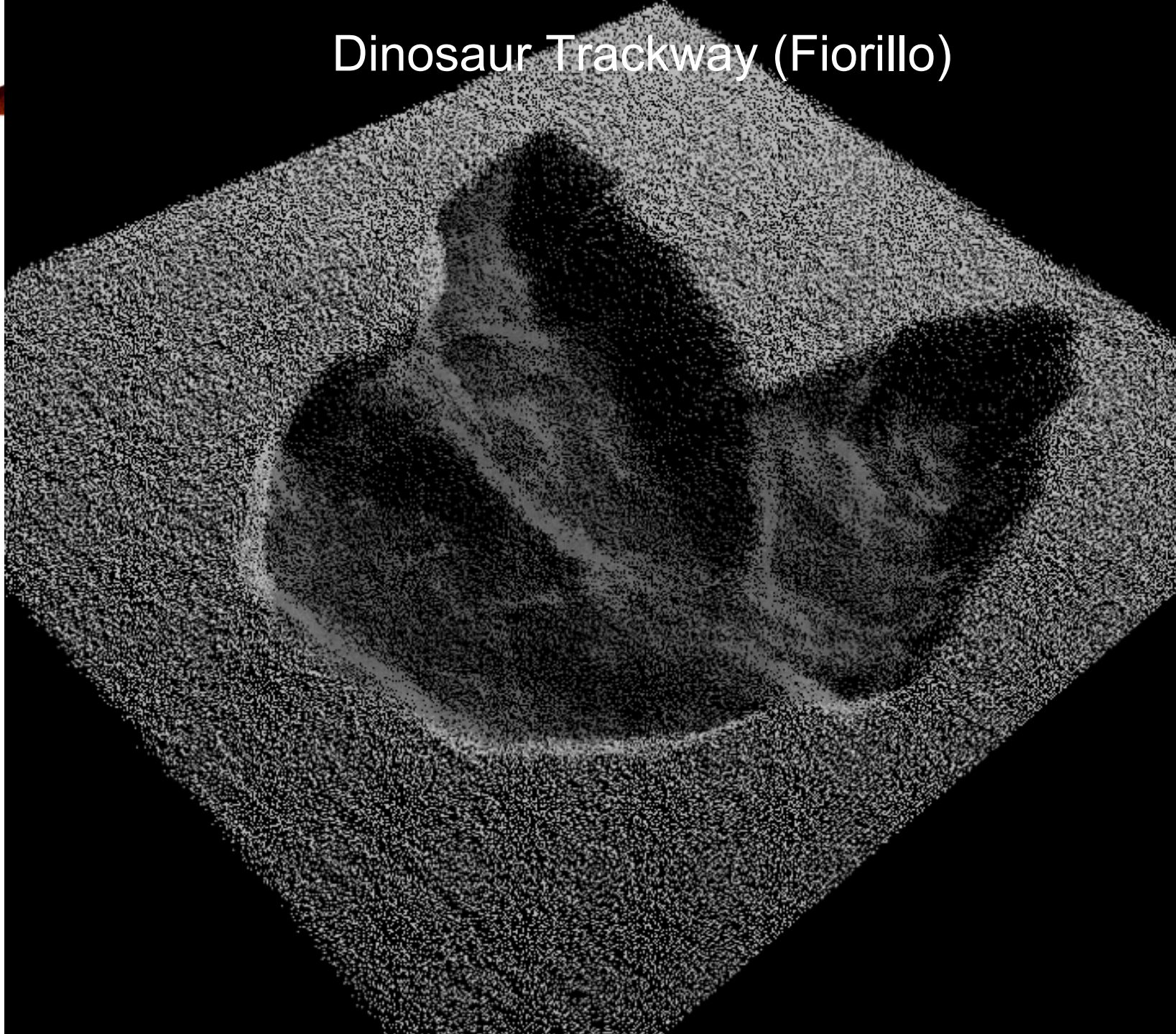


## Using TLS to Obtain Volumetric Measurements of Weddell Seals in the McMurdo Sound

Seal body mass = proxy for availability of marine food resources



# Dinosaur Trackway (Fiorillo)





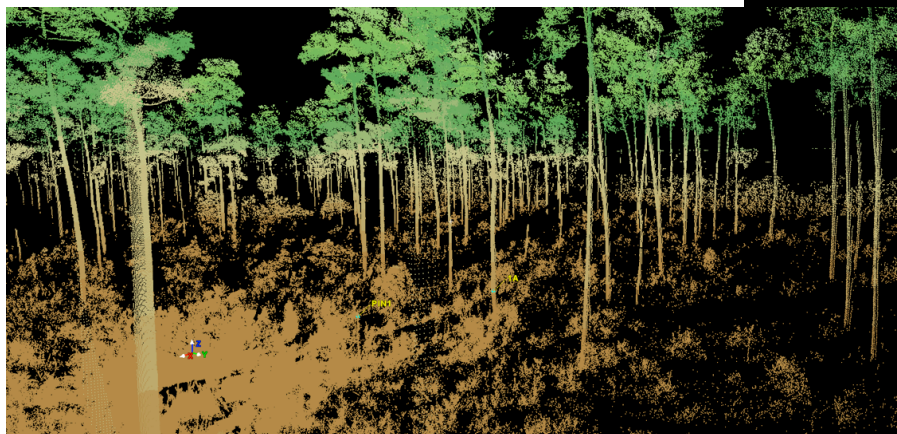
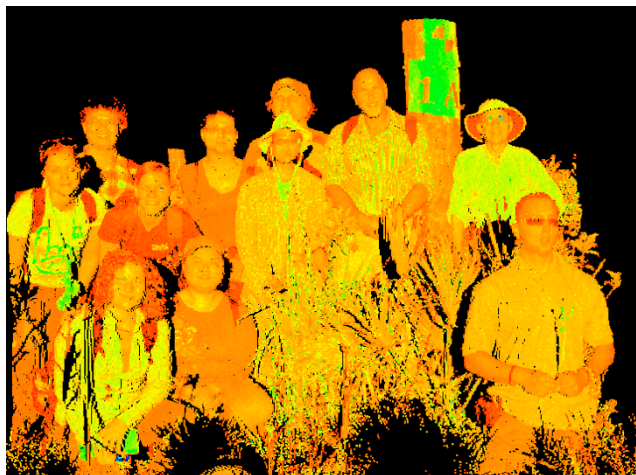
# Everglades Biomass (Wdowinski)

- Scanning to measure biomass in Everglades National Park (PI: Wdowinski).





## Everglades Biomass (Wdowski)









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