

Contact information

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Project Name: West Bijou Creek, CO LiDAR Survey

1. ALTM Specifications

This survey used an Optech Gemini Airborne Laser Terrain Mapper (ALTM) serial number 06SEN195 mounted in a twin-engine Cessna Skymaster (N337P). This ALTM was delivered to the UF in 2007 as the first operational system of its kind in the United States. System specifications appear below in Table 1.

| | |
|--|--|
| Operating Altitude | 80 - 4000 m |
| Horizontal Accuracy | 1/11,000 x altitude; ± 1 -sigma |
| Elevation Accuracy | 5 - 10 cm typical; ± 1 -sigma |
| Range Capture | Up to 4 range measurements per pulse, including last 4 Intensity readings with 12-bit dynamic range for each measurement |
| Intensity Capture | |
| Scan Angle | Variable from 0 to 25 degrees in increments of ± 1 degree |
| Scan Frequency | Variable to 100 Hz |
| Scanner Product | Up to Scan angle x Scan frequency = 1000 |
| Pulse Rate Frequency | 33 - 167 KHz |
| Position Orientation System | Applanix POS/AV including internal 12-channel 10Hz GPS receiver |
| Laser Wavelength/Class | 1047 nanometers / Class IV (FDA 21 CFR) |
| Beam Divergence nominal (1\e full angle) | Dual Divergence 0.25 mrad or 0.80 mrad |

Table 1 – Gemini ALTM specifications.

2. Survey area

The survey area is a rectangular polygon 30 miles ESE of Aurora, Colorado enclosing approximately 19 square kilometers. The survey polygon is shown below in Figure 1.

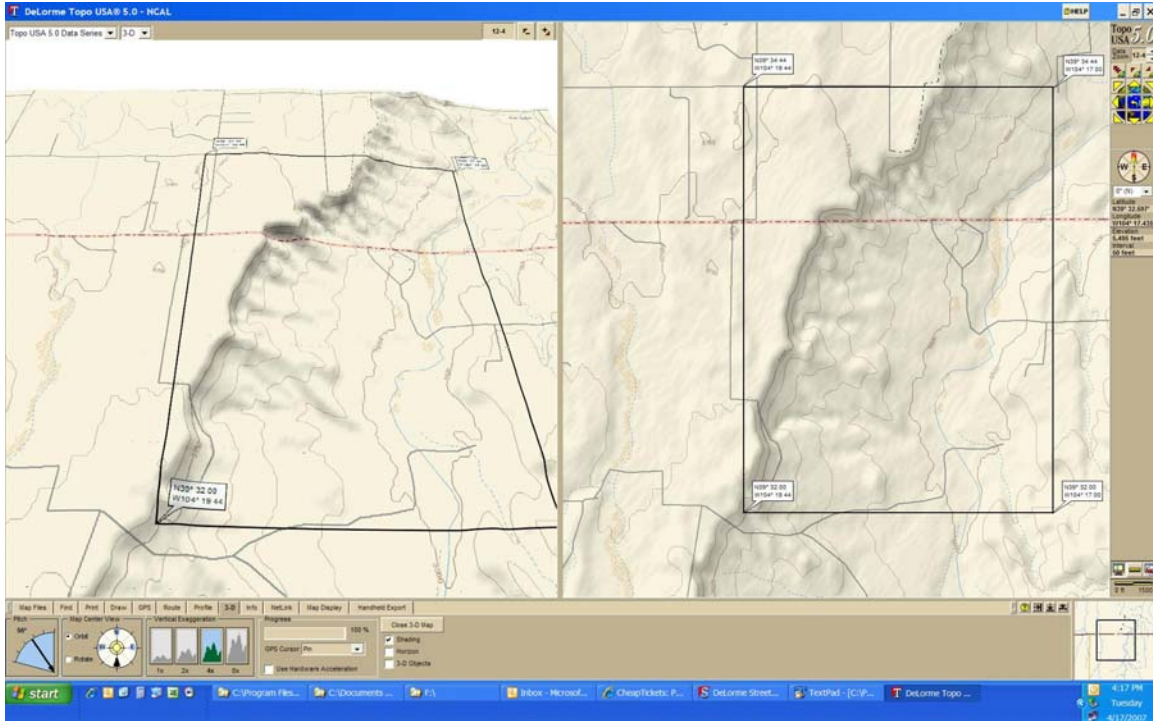


Figure 1 – Size, shape and location of survey polygon.

3. Survey Times

This area was flown on Monday April 23, 2007 in a single flight originating out of Centennial Airport in Englewood, Colorado.

4. Survey Parameters

The survey required 18 flight lines, shown below in Figure 2.

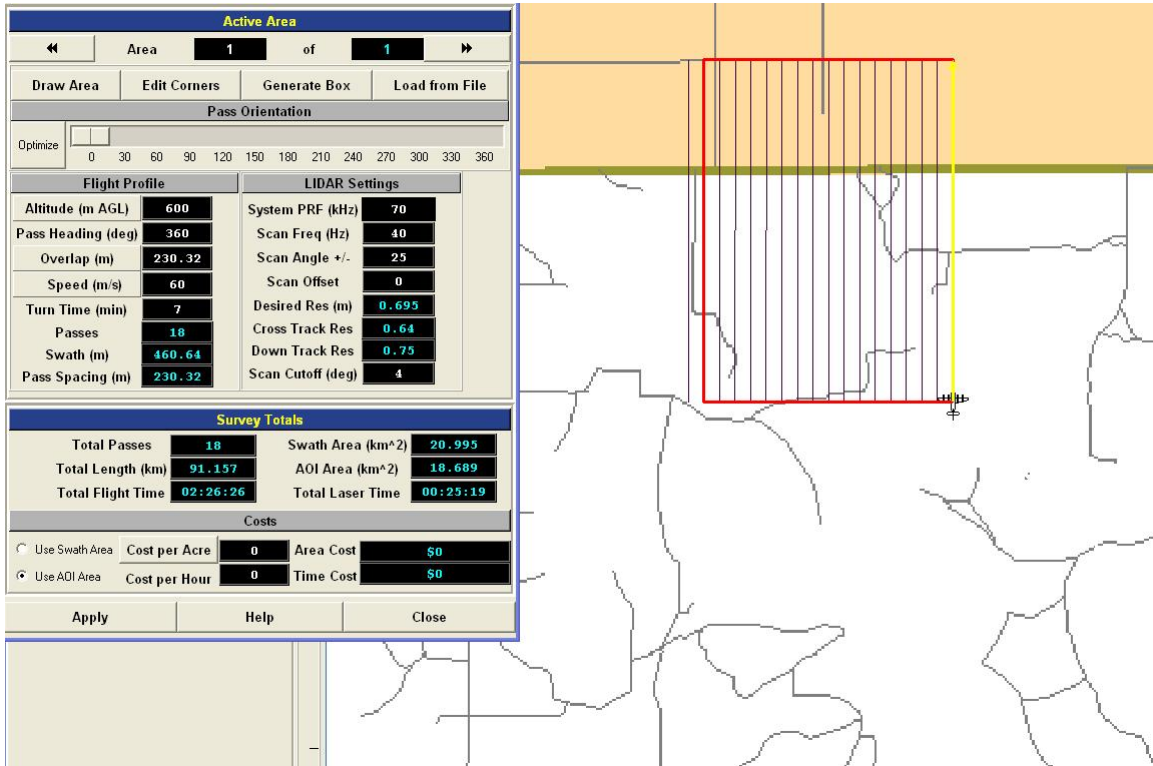


Figure 2 - Flight lines with planning parameters.

Survey totals appear below in Table 3.

| Survey Totals | |
|----------------------|---------------------|
| Total Passes | 18 |
| Total Length | 91 km |
| Total Flight Time | 02:26:00 |
| Total Laser Time | 00:25:19 |
| Total Swath Area | 21 km ² |
| Total AOI Area | 18.7km ² |

Table 3 – Survey totals. Area of Interest is abbreviated AOI.

LiDAR settings are shown in Table 4.

| LiDAR Settings | |
|------------------------|-------------|
| Desired Resolution | 0.69 m |
| Cross Track Resolution | 0.63 m |
| Down Track Resolution | 0.75 m |
| Scan Frequency | 40 Hz |
| Scan Angle | +/- 25 deg |
| Scan Cutoff | +/- 4.0 deg |
| Scan Offset | 0 deg |
| System PRF | 70 kHz |
| Swath Width | 460 m |

Table 4 – LiDAR settings.

Actual point spacing and aircraft altitude varied slightly from planned settings.

5. GPS Reference Stations

Two GPS reference station locations were used during the survey, one located at the Centennial Airport (named CEN_) and the second located just west of the project polygon (named 194_). All GPS observations were logged at a 1 Hz, and submitted to the NGS on-line processor OPUS. Solution files are attached as Appendix A. Final coordinates for the both reference stations were calculated from the OPUS solutions. For further information on OPUS see <http://www.ngs.noaa.gov/OPUS/> and for more information on the CORS network see <http://www.ngs.noaa.gov/CORS/>.

All GPS observations were logged at a 1 Hz. Ground equipment for the NCALM stations consisted of ASHTECH (Thales Navigation) Z-Extreme receivers, with choke ring antennas (Part# 700936.D) mounted on 1.5-meter fixed-height tripods. The airborne receiver is an internal TRIMBLE GPS receiver running at 10 Hz.

6. Navigation Processing and Calibration

Airplane trajectories for this survey were processed using both APPLANIX POGPS and KARS software (Kinematic and Rapid Static) written by Dr. Gerry Mader of the NGS Research Laboratory. Both of these kinematic processors uses the dual-frequency phase history files and yields a high-quality fixed integer ionosphere-free differential solution. Figure 3 (below) illustrates the positional difference between the aircraft trajectory solutions as processed using POSGPS and KARS.

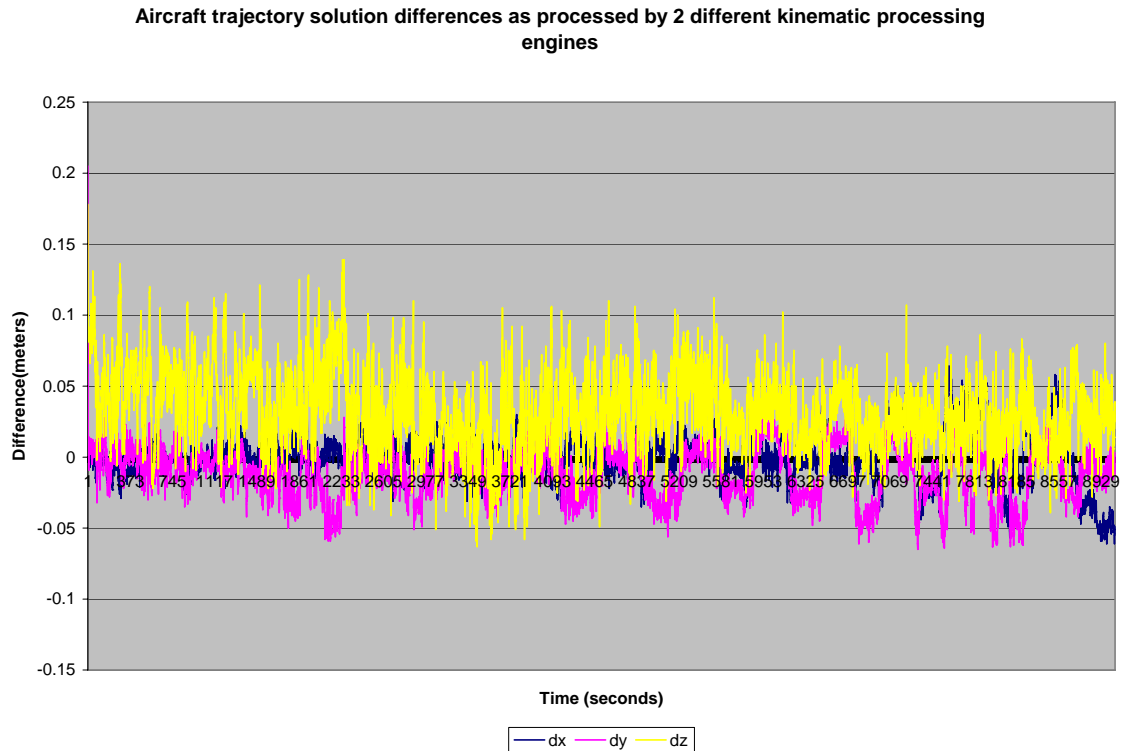


Figure 3 - Positional differences in the aircraft trajectory. Yellow line is the height difference.

The RMS of the height differences is 27 mm.

After GPS processing, the trajectory and the inertial measurement unit (IMU) data collected during the flights were input into APPLANIX software POSPROC which implements a Kalman Filter algorithm to produce a final, smoothed, and complete navigation solution including both aircraft position and orientation at 200 Hz. This final solution is known as the SBET (Smoothed Best Estimated Trajectory).

The SBET and the raw laser range data were combined using Optech's DashMap processing software to generate the laser point dataset. A few small test sites containing crossing flight-lines were initially extracted and used for relative calibration with TerraSolid's TerraMatch software. This application measures the differences between laser surfaces from overlapping flight lines and translates them into correction values for the system orientation -- easting, northing, elevation, heading, roll and/or pitch. After

obtaining adjustments to calibration values using TerraMatch, laser point processing was re-done and the calibration rechecked. Calibration values for this flight are archived at UC Berkeley along with all raw data.

Absolute ground calibration was performed on these data by collecting test points by vehicle mounted GPS some sections of roads near the project. Analysis of 130 test point elevation versus the nearest-neighbor laser point elevation differences yielded an RMS of 39 mm. Figure 4 (below) is a shaded relief image showing the calibration cross lines and the ground truth near Walker Field.

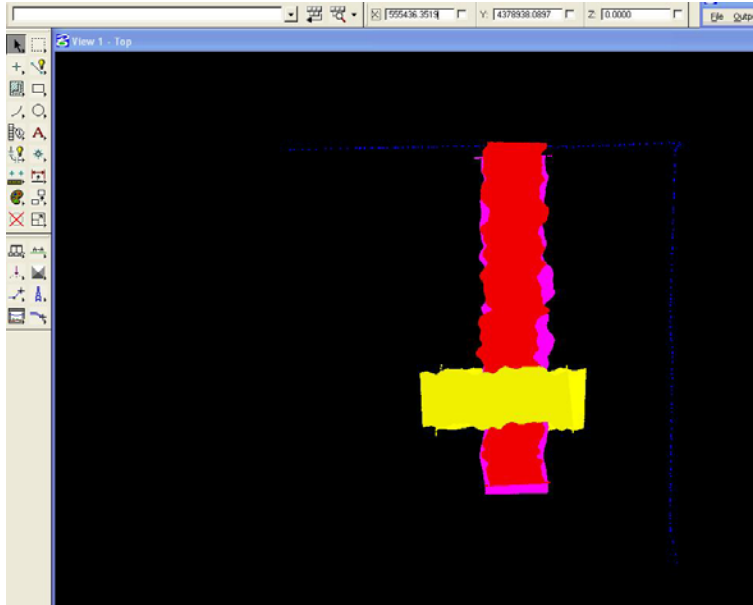


Figure 4 – Cross lines and ground truth points (in blue) near Tucker Project area.

After ground filtering was performed in TerraScan (see next section) it was observed that the relative calibration was still not optimal and artifacts were visible in the filtered shaded relief map, due to flight lines misfit.

The relative swath calibration was improved by running TerraMatch again on a small site (Fig 5) within the project boundary where crossing flight-lines are also present.

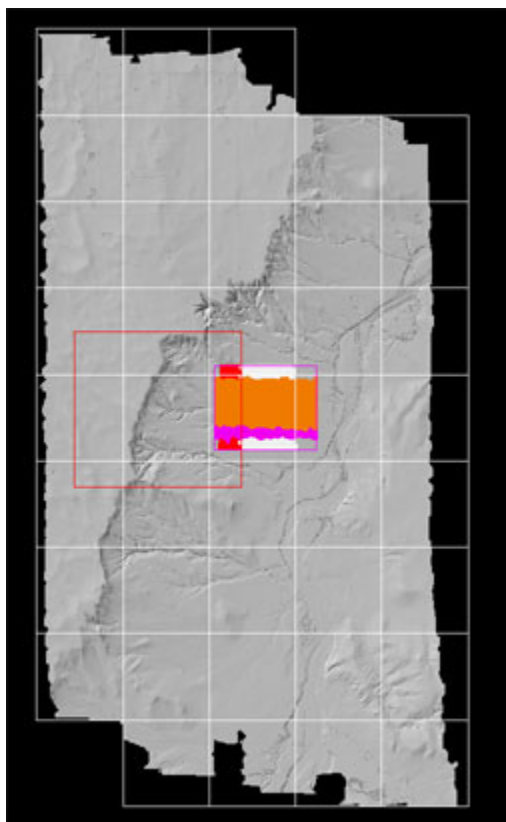


Figure 5 – location of the second calibration site.

7. Filtering and DEM Production

Terrasolid's TerraScan (<http://terrasolid.fi>) software was used to classify the laser points and generate the “bare-earth” dataset.

Only the first two returns were used for classifying the bare-earth points. The last two returns are usually much noisier and contain many more low points (multipath) than the first two, and represent only a very small percentage of all recorded points (typically, for a sparsely vegetated area such as this, the last two returns represent only about 1% or less)

The classification routine consists of three algorithms:

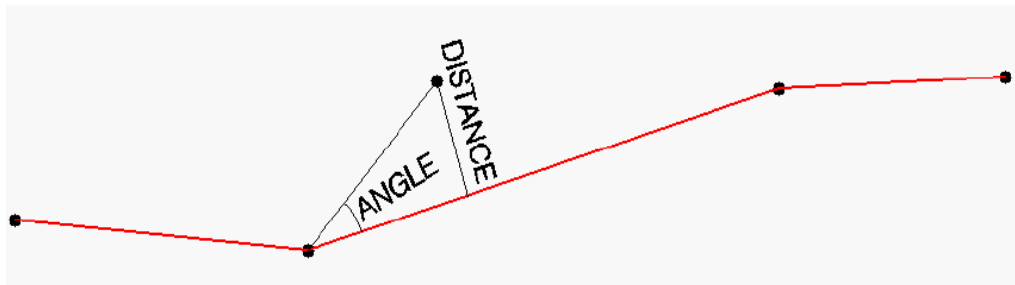
- 1) Removal of “Low Points”. This routine was used to search for possible error points which are clearly below the ground surface. The elevation of each point (=center) is compared with every other point within a given neighborhood and if the center point is clearly lower than any other point it will be classified as a “low point”. This routine can also search for groups of low points where the whole group is lower than other points in the vicinity. The parameters used on this dataset were:

```
Search for: Groups of Points  
Max Count (maximum size of a group of low points): 6
```

More than (minimum height difference): 0.5 m
Within (xy search range): 5.0 m

- 2) Ground Classification. This routine classifies ground points by iteratively building a triangulated surface model. The algorithm starts by selecting some local low points assumed as sure hits on the ground, within a specified windows size. This makes the algorithm particularly sensitive to low outliers in the initial dataset, hence the requirement of removing as many erroneous low points as possible in the first step.

The routine builds an initial model from selected low points. Triangles in this initial model are mostly below the ground with only the vertices touching ground. The routine then starts molding the model upwards by iteratively adding new laser points to it. Each added point makes the model follow ground surface more closely. Iteration parameters determine how close a point must be to a triangle plane so that the point can be accepted to the model. **Iteration angle** is the maximum angle between point, its projection on triangle plane and closest triangle vertex. The smaller the Iteration angle, the less eager the routine is to follow changes in the point cloud. **Iteration distance** parameter makes sure that the iteration does not make big jumps upwards when triangles are large. This helps to keep low buildings out of the model. The routine can also help avoiding adding unnecessary point density into the ground model by reducing the eagerness to add new points to ground inside a triangle with all edges shorter than a specified length.



Ground classification parameters used:

Max Building Size (window size): 40.0 m
Max Terrain Angle: 88.0
Iteration Angle: 6.10
Iteration Distance: 2.0 m
Reduce iteration angle when edge length < : 5.0 m

- 3) Below Surface removal. This routine classifies points which are lower than other neighboring points and it is run after ground classification to locate points which are below the true ground surface. For each point in the source class, the algorithm finds up to 25 closest neighboring source points and fits a plane equation through them. If the initially selected point is above the plane or less than “Z tolerance”, it will not be classified. Then it computes the standard deviation of the elevation differences from the neighboring points to the fitted plane and if the central point is more than “Limit”

times standard deviation below the plane, the algorithm it will classify it into the target class.

```
Parameters used:  
Source Class: Ground  
Target Class: Low Point  
Limit: 8.00 * standard deviation  
Z tolerance: 0.10 m
```

After classification the ground points were outputted in 1km x 1km overlapping tiles (40m overlap), ASCII format (XYZ), and gridded at 1m cell size using Golden Software's SURFER ver. 8.01. The tiles need to overlap in order to obtain consistent transitions from one tile to the adjacent ones when merged.

Gridding parameters:

```
Gridding Algorithm: Kriging  
Variogram: Linear  
Nugget Variance: 0.07 m  
MicroVariance: 0.00 m  
SearchDataPerSector: 10  
SearchMinData: 5  
SearchMaxEmpty: 1  
SearchRadius: 40m
```

The resulted Surfer grid tile set was exported to ESRI ArcInfo floating point binary format and using an in-house C++ application the overlap was trimmed from each tile. The trimmed tiles were exported to ESRI ArcInfo GRID format and merged into one seamless raster dataset.

A similar process was used to generate the unfiltered seamless grid.

Appendix A OPUS Solutions

NGS OPUS SOLUTION REPORT

USER: michael@ufl.edu
RINEX FILE: 194_113p.07o

DATE: May 29, 2007
TIME: 19:31:07 UTC

SOFTWARE: page5 0612.06 master11.pl START: 2007/04/23 15:30:00
EPHEMERIS: igs14241.eph [precise] STOP: 2007/04/23 18:46:00
NAV FILE: brdc1130.07n OBS USED: 8786 / 8880 :
99%
ANT NAME: ASH700936D_M # FIXED AMB: 42 / 42 :
100%
ARP HEIGHT: 1.500 OVERALL RMS: 0.009(m)

REF FRAME: NAD_83(CORS96) (EPOCH:2002.0000) ITRF00 (EPOCH:2007.3088)

| | | | | |
|----|-----------------|----------|-----------------|----------|
| X: | -1221932.759(m) | 0.011(m) | -1221933.475(m) | 0.011(m) |
| Y: | -4771028.003(m) | 0.021(m) | -4771026.687(m) | 0.021(m) |
| Z: | 4041891.571(m) | 0.013(m) | 4041891.487(m) | 0.013(m) |

| | | | | |
|------------|-----------------|----------|------------------|----------|
| LAT: | 39 33 50.46549 | 0.016(m) | 39 33 50.48605 | 0.016(m) |
| E LON: | 255 38 4.09013 | 0.008(m) | 255 38 4.04740 | 0.008(m) |
| W LON: | 104 21 55.90987 | 0.008(m) | 104 21 55.95260 | 0.008(m) |
| EL HGT: | 1736.635(m) | 0.021(m) | 1735.735(m) | 0.021(m) |
| ORTHO HGT: | 1754.747(m) | 0.032(m) | [Geoid03 NAVD88] | |

| | UTM COORDINATES | STATE PLANE COORDINATES |
|-----------------------|-----------------|-------------------------|
| | UTM (Zone 13) | SPC (0502 CO C) |
| Northing (Y) [meters] | 4379561.535 | 497531.834 |
| Easting (X) [meters] | 554501.195 | 1011886.245 |
| Convergence [degrees] | 0.40412891 | 0.71549805 |
| Point Scale | 0.99963657 | 0.99996850 |
| Combined Factor | 0.99936429 | 0.99969613 |

US NATIONAL GRID DESIGNATOR: 13SED5450179562(NAD 83)

BASE STATIONS USED

| PID | DESIGNATION | LATITUDE | LONGITUDE | DISTANCE(m) |
|--------|------------------------------|-------------|--------------|-------------|
| AI2151 | DSRC BOULDER CORS ARP | N395929.129 | W1051539.675 | 90230.4 |
| DG7429 | P041 MARSHALL FIELD CORS ARP | N395658.150 | W1051139.316 | 82939.0 |
| AF9517 | PLTC PLATTEVILLE CORS ARP | N401053.712 | W1044333.333 | 75201.9 |

NEAREST NGS PUBLISHED CONTROL POINT

| | | | | |
|--------|------------|----------|-----------|--------|
| KK0087 | 849 AX 2 A | N393447. | W1042750. | 8619.1 |
|--------|------------|----------|-----------|--------|

NGS OPUS SOLUTION REPORT

=====

USER: michaels@ufl.edu
 RINEX FILE: cen_113o.07o

DATE: May 29, 2007
 TIME: 19:36:48 UTC

SOFTWARE: page5 0612.06 master11.pl
 EPHEMERIS: igs14241.eph [precise]
 NAV FILE: brdc1130.07n
 100%
 ANT NAME: ASH700936D_M NONE
 100%
 ARP HEIGHT: 1.500

START: 2007/04/23 14:37:00
 STOP: 2007/04/23 19:11:00
 OBS USED: 12813 / 12859 :
 # FIXED AMB: 54 / 54 :
 OVERALL RMS: 0.010(m)

REF FRAME: NAD_83(CORS96) (EPOCH:2002.0000) ITRF00 (EPOCH:2007.3088)

| | | | | |
|----|-----------------|----------|-----------------|----------|
| X: | -1261523.417(m) | 0.012(m) | -1261524.134(m) | 0.012(m) |
| Y: | -4759915.273(m) | 0.020(m) | -4759913.960(m) | 0.020(m) |
| Z: | 4042850.234(m) | 0.017(m) | 4042850.152(m) | 0.017(m) |

| | | | | |
|------------|-----------------|----------|------------------|----------|
| LAT: | 39 34 30.33967 | 0.017(m) | 39 34 30.36004 | 0.017(m) |
| E LON: | 255 9 22.07633 | 0.009(m) | 255 9 22.03321 | 0.009(m) |
| W LON: | 104 50 37.92367 | 0.009(m) | 104 50 37.96679 | 0.009(m) |
| EL HGT: | 1753.041(m) | 0.021(m) | 1752.152(m) | 0.021(m) |
| ORTHO HGT: | 1770.318(m) | 0.033(m) | [Geoid03 NAVD88] | |

| | UTM COORDINATES | STATE PLANE COORDINATES |
|-----------------------|-----------------|-------------------------|
| | UTM (Zone 13) | SPC (0502 CO C) |
| Northing (Y) [meters] | 4380610.220 | 498356.429 |
| Easting (X) [meters] | 513409.648 | 970775.057 |
| Convergence [degrees] | 0.09947033 | 0.41381580 |
| Point Scale | 0.99960221 | 0.99997008 |
| Combined Factor | 0.99932737 | 0.99969514 |

US NATIONAL GRID DESIGNATOR: 13SED1341080610(NAD 83)

BASE STATIONS USED

| PID | DESIGNATION | LATITUDE | LONGITUDE | DISTANCE(m) |
|--------|------------------------------|-------------|--------------|-------------|
| AI2151 | DSRC BOULDER CORS ARP | N395929.129 | W1051539.675 | 58442.7 |
| DG7429 | P041 MARSHALL FIELD CORS ARP | N395658.150 | W1051139.316 | 51291.7 |
| AF9517 | PLTC PLATTEVILLE CORS ARP | N401053.712 | W1044333.333 | 68109.4 |

NEAREST NGS PUBLISHED CONTROL POINT

| | | | | |
|--------|-------|-------------|--------------|-------|
| AI5877 | APA E | N393423.979 | W1045056.911 | 493.5 |
|--------|-------|-------------|--------------|-------|